

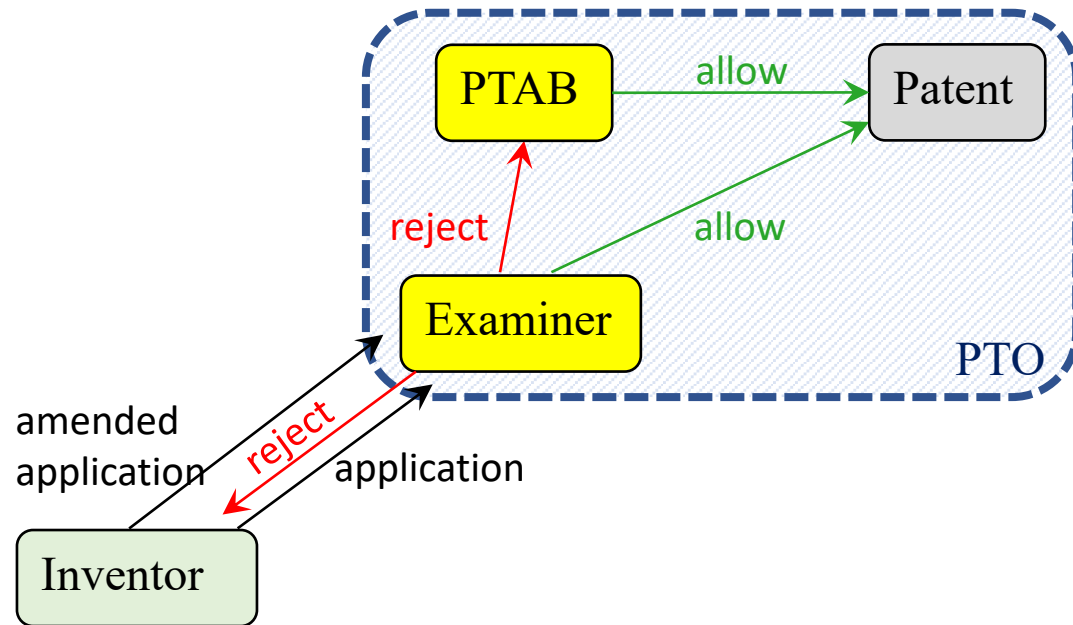


# IPRs and Fintech

William Fisher  
November 2022

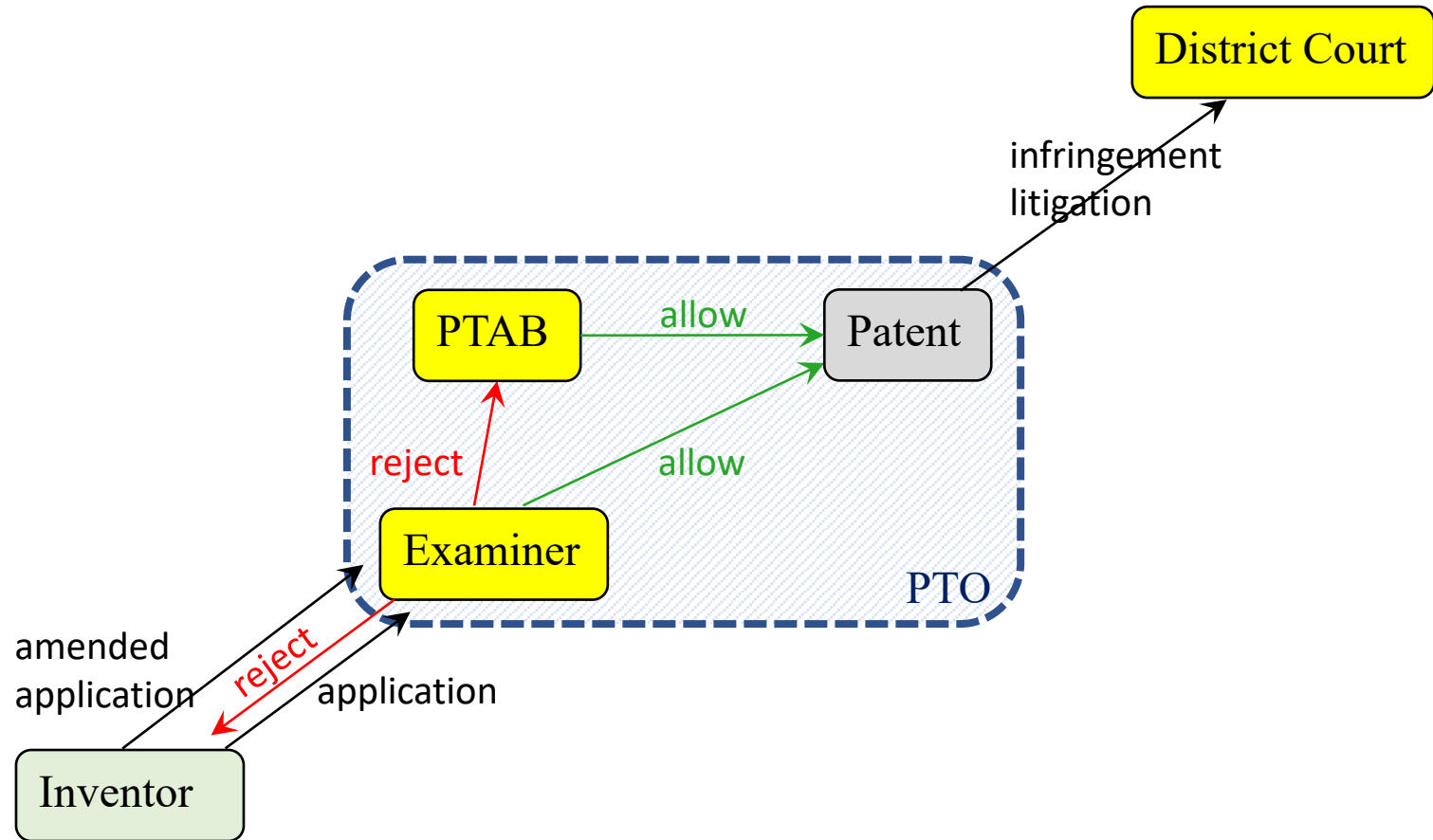


# Patent Fights



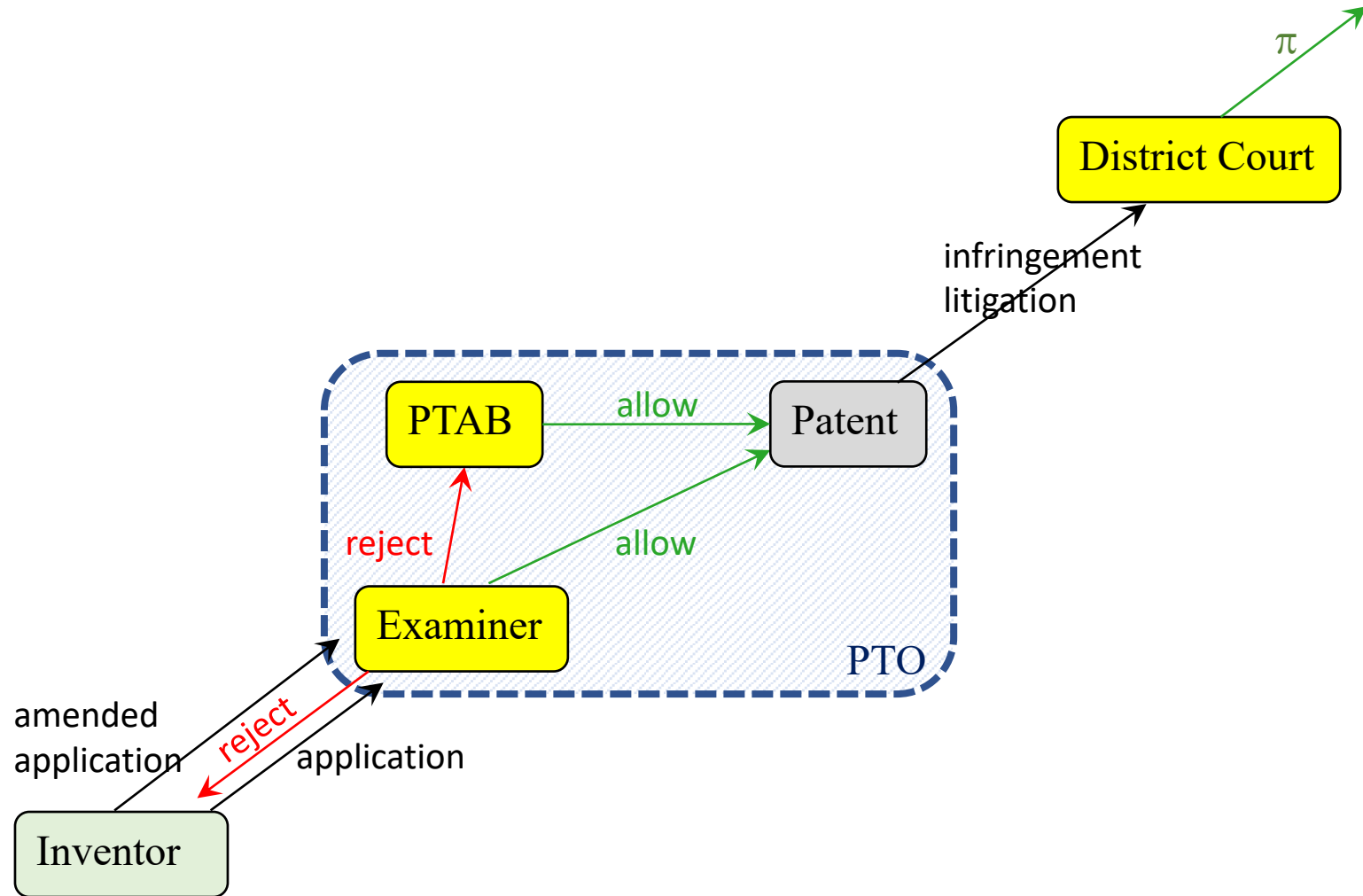


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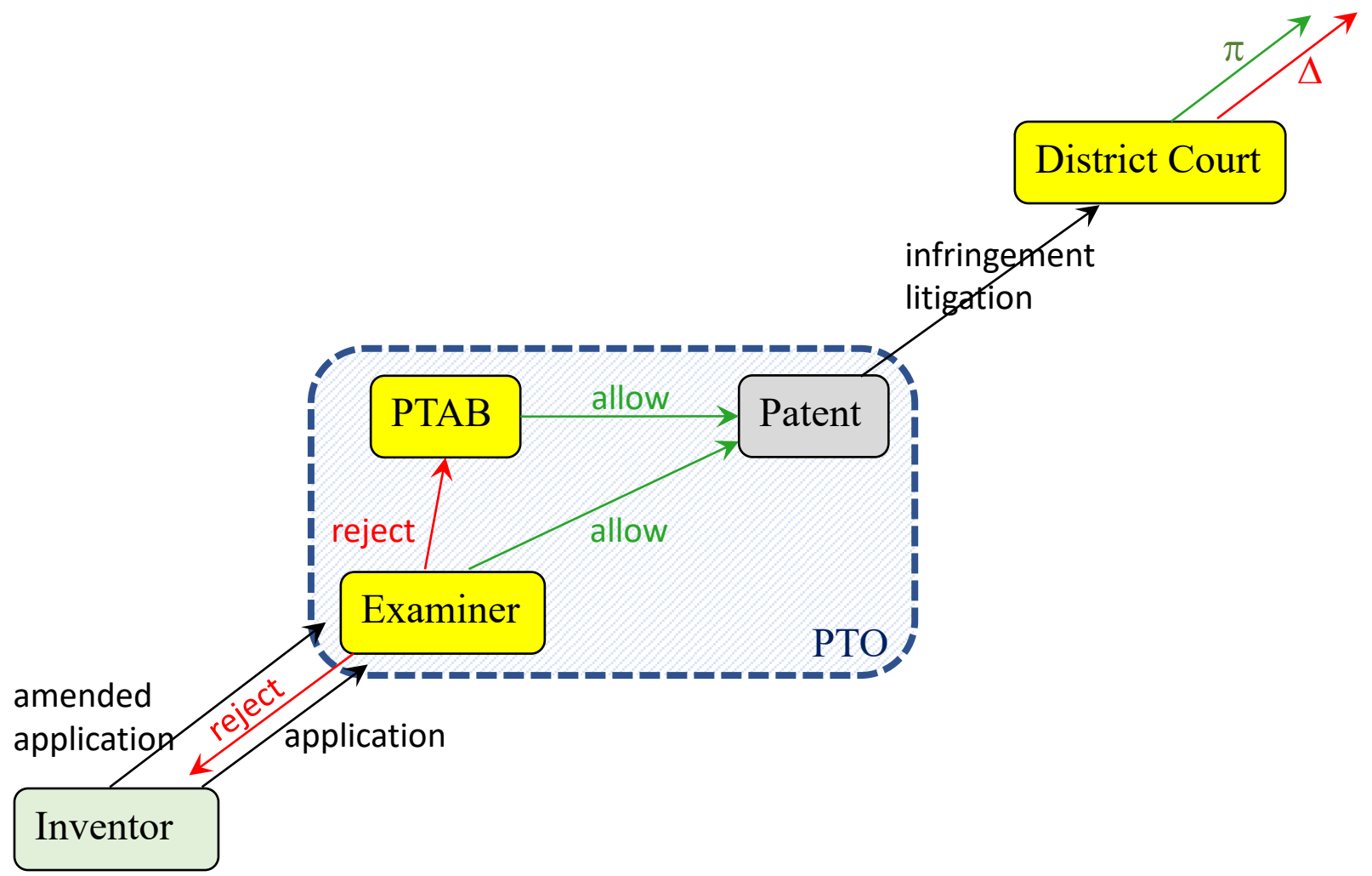


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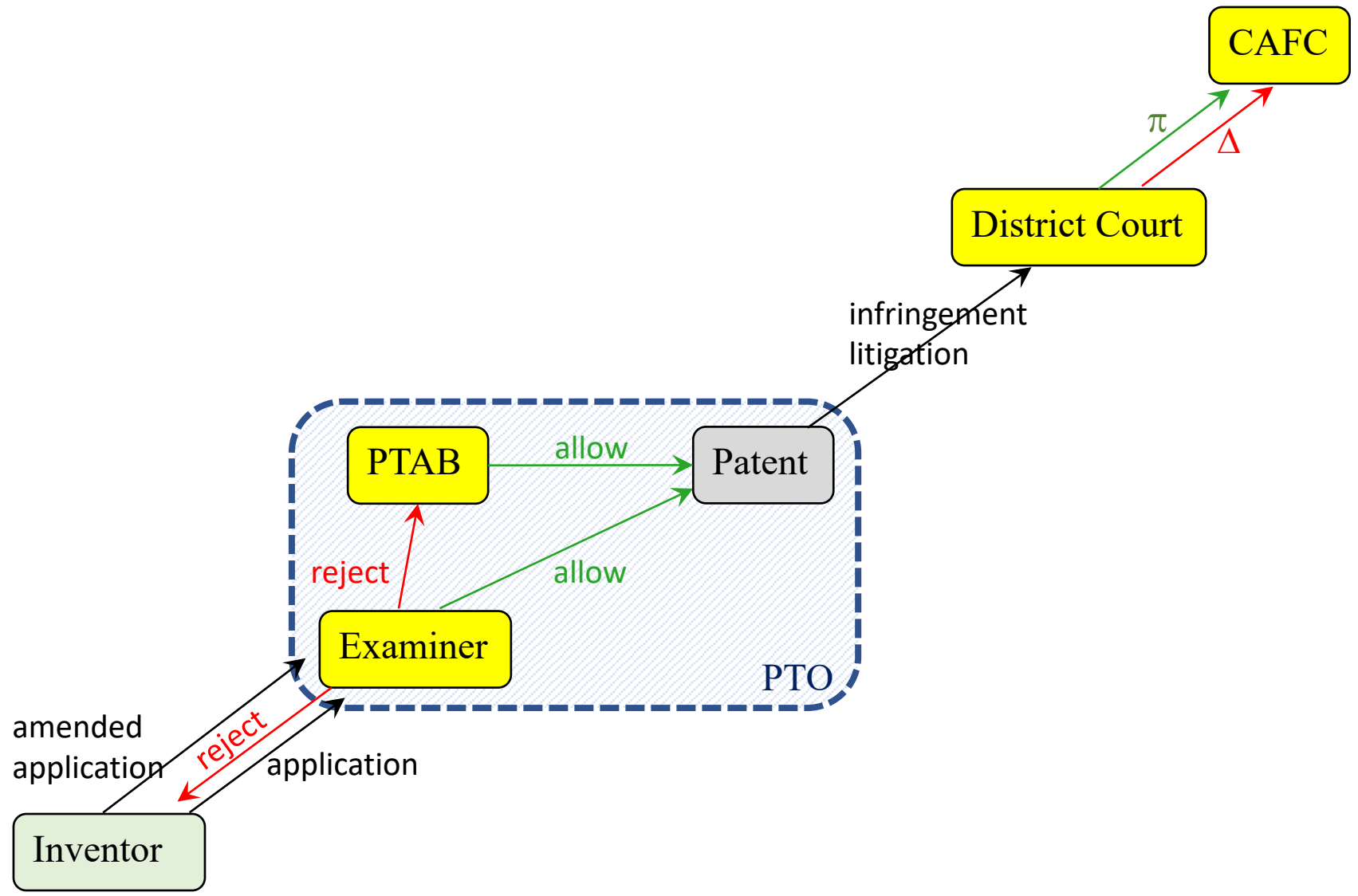


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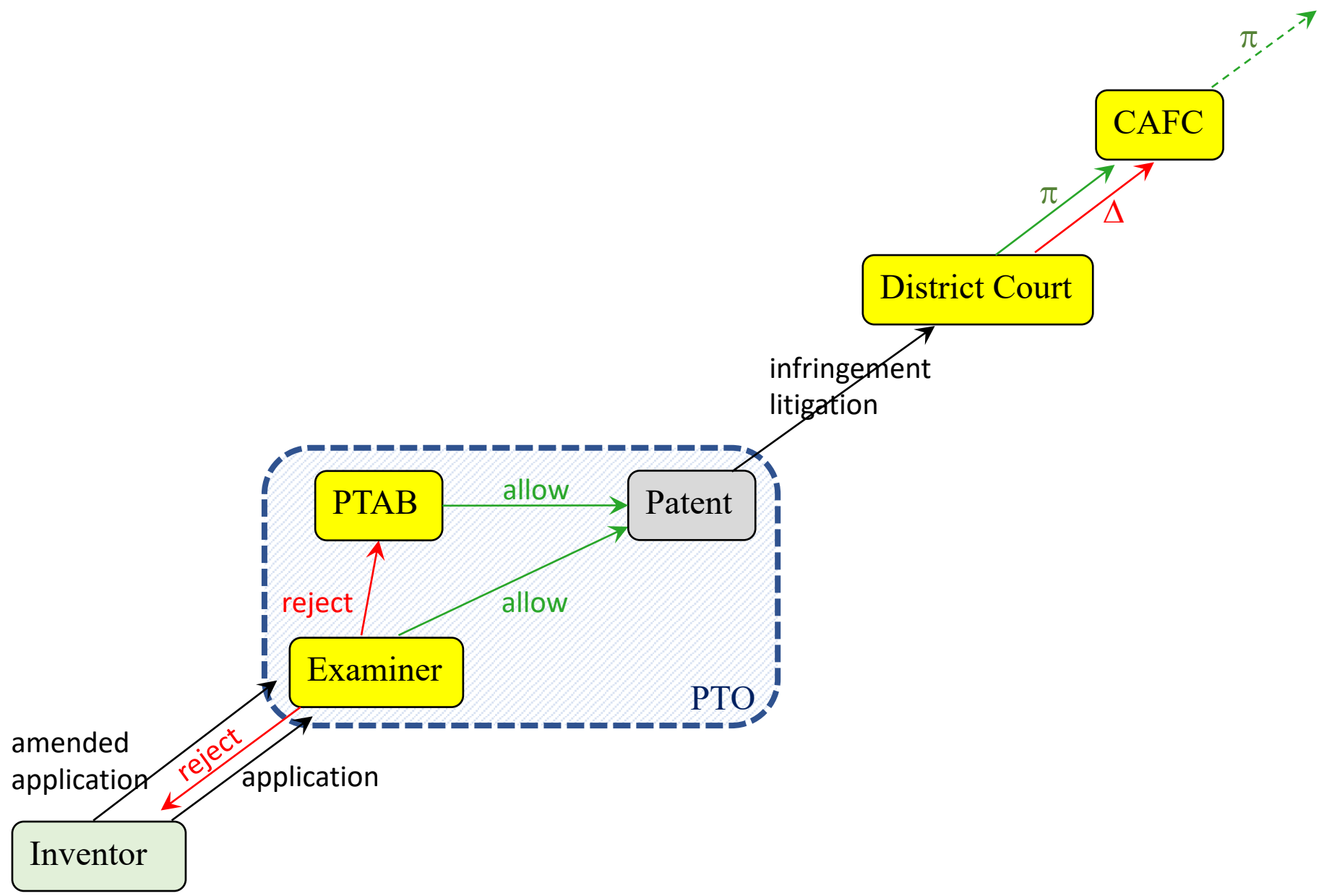


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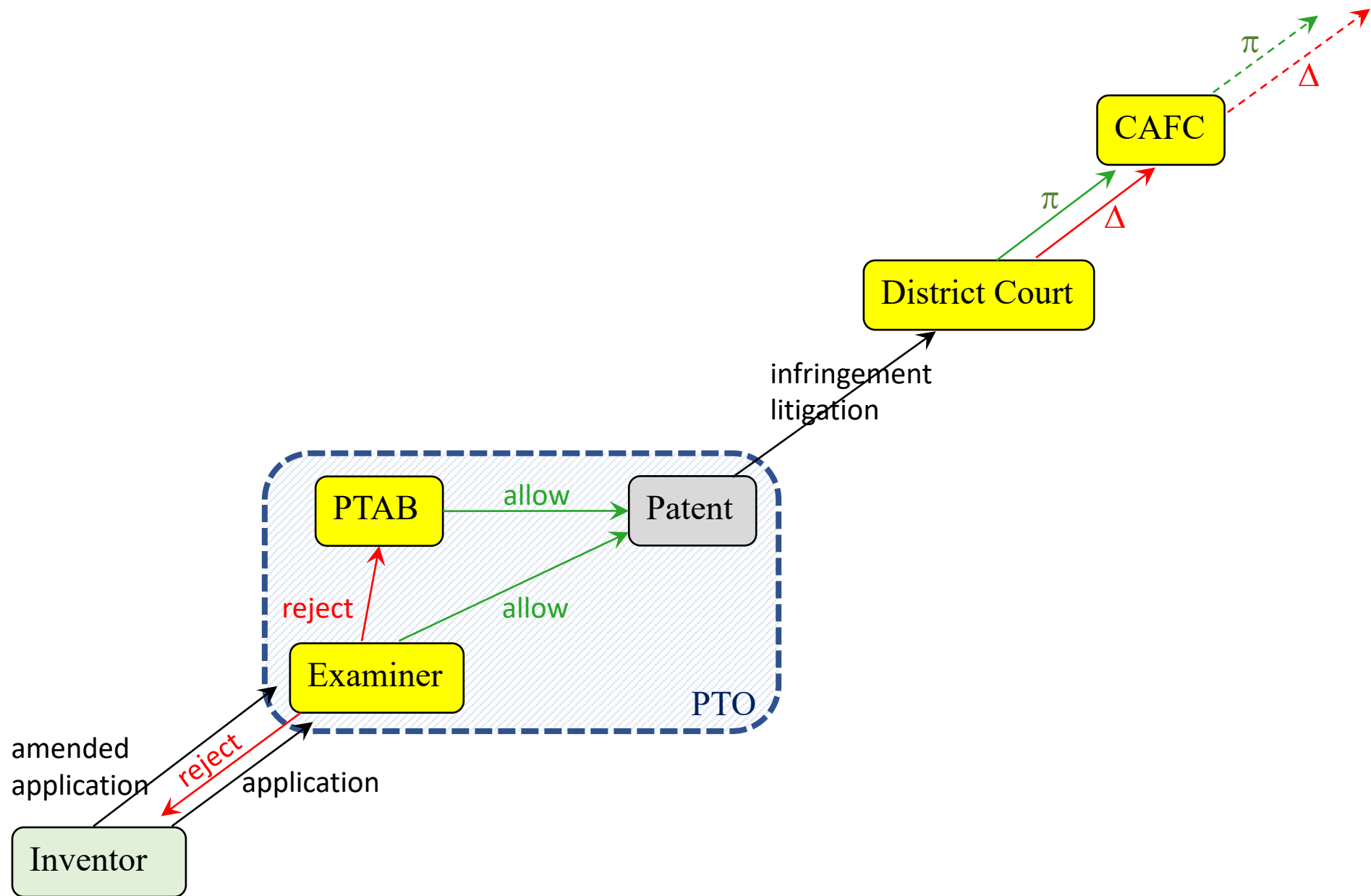


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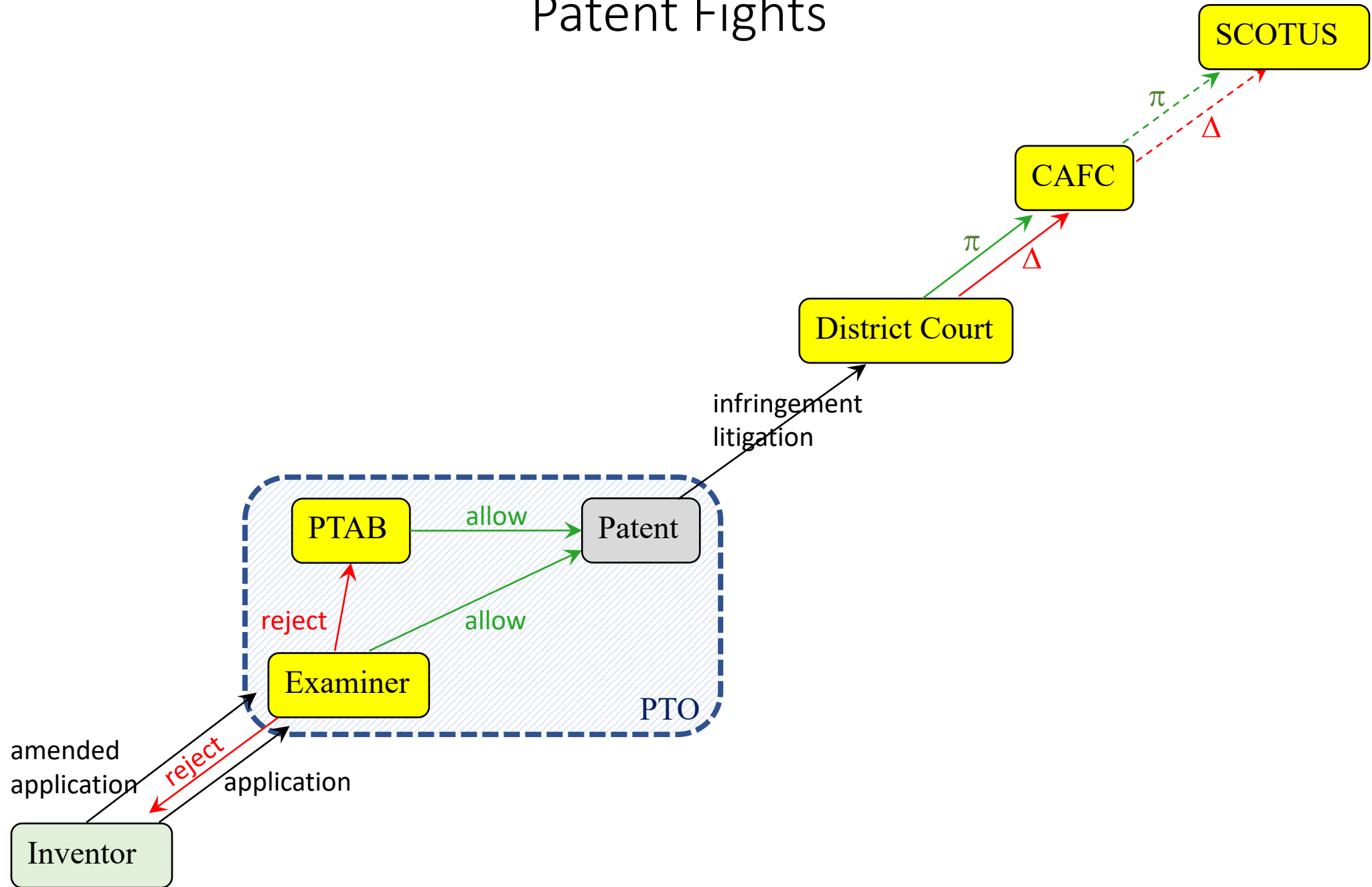
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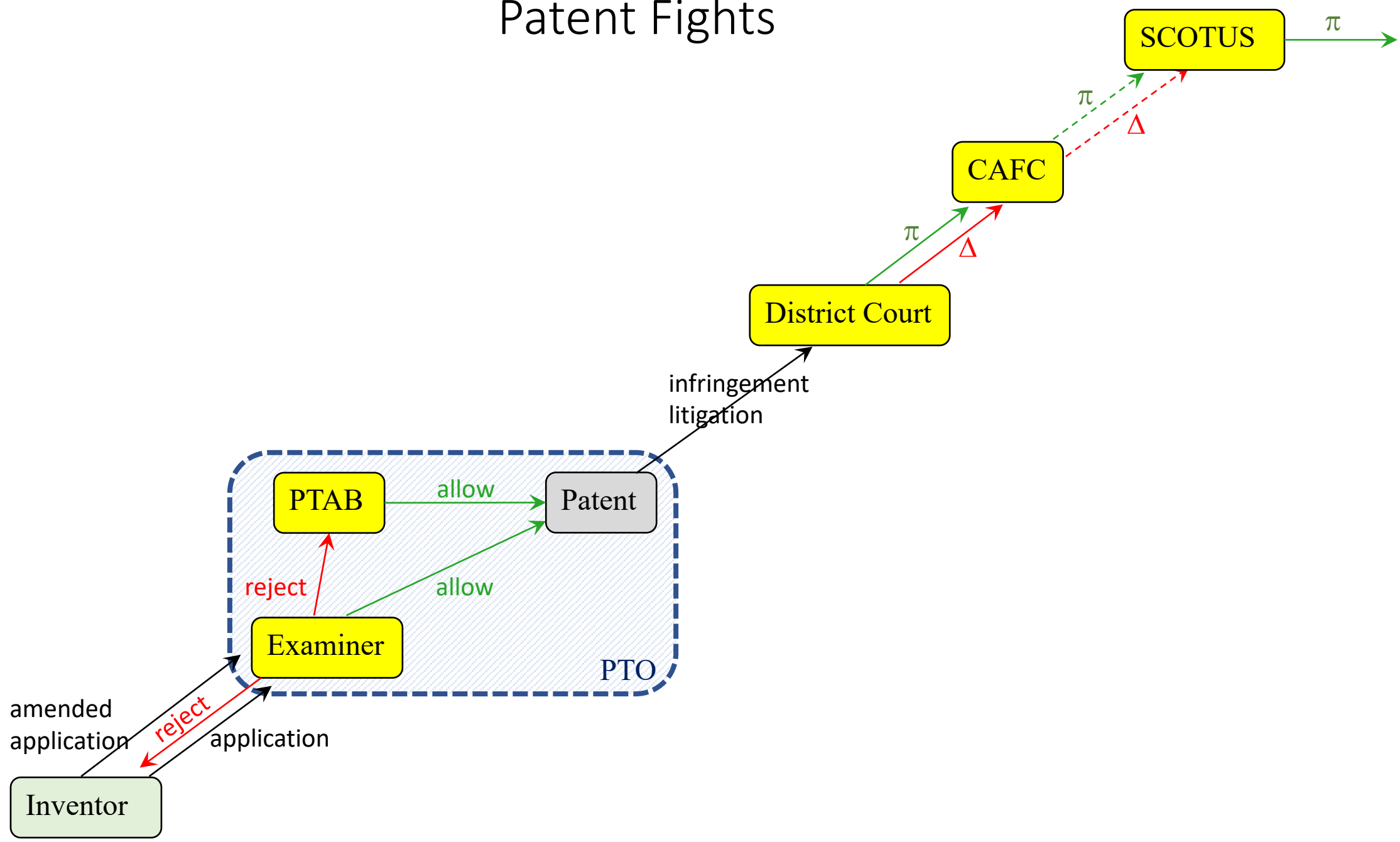


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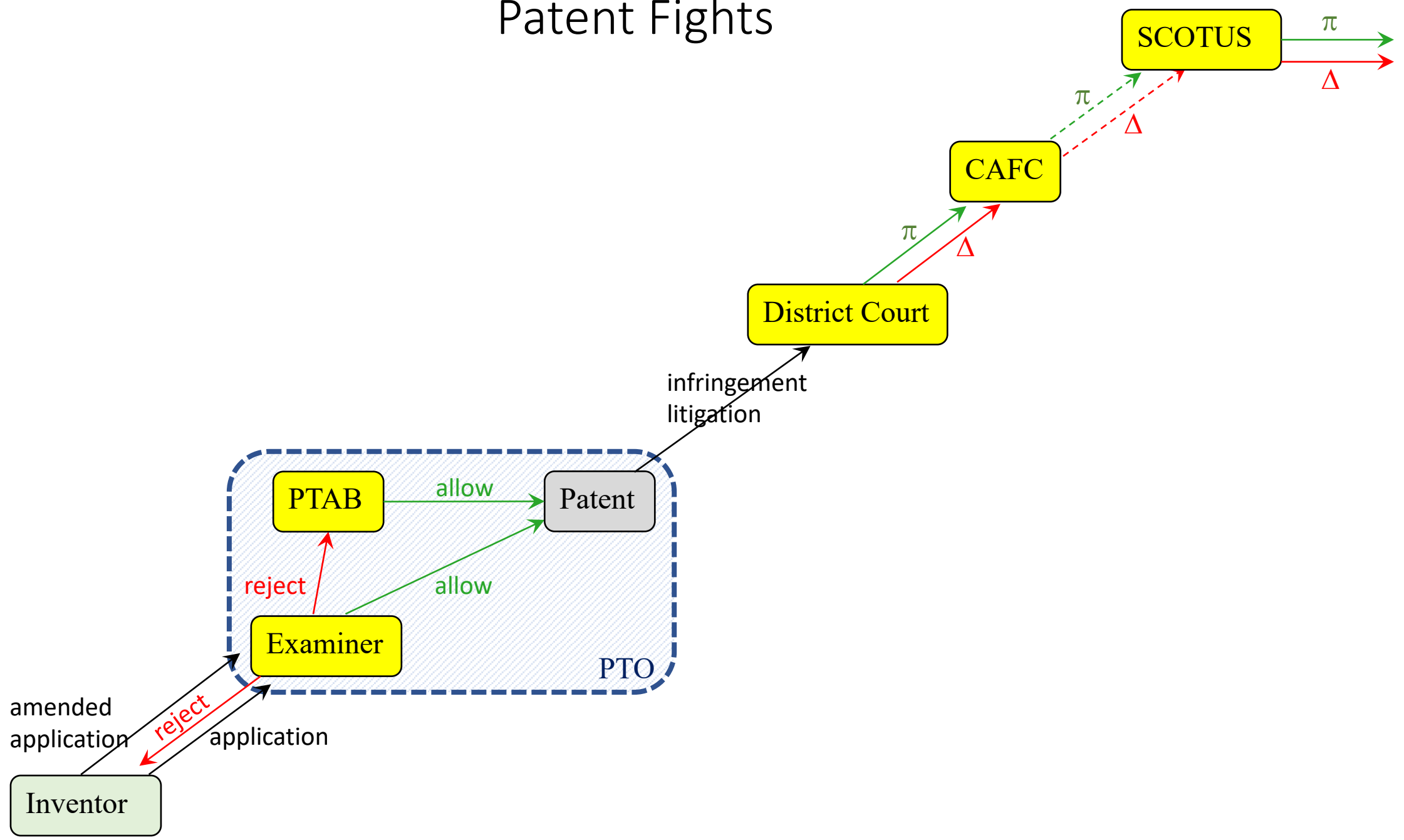


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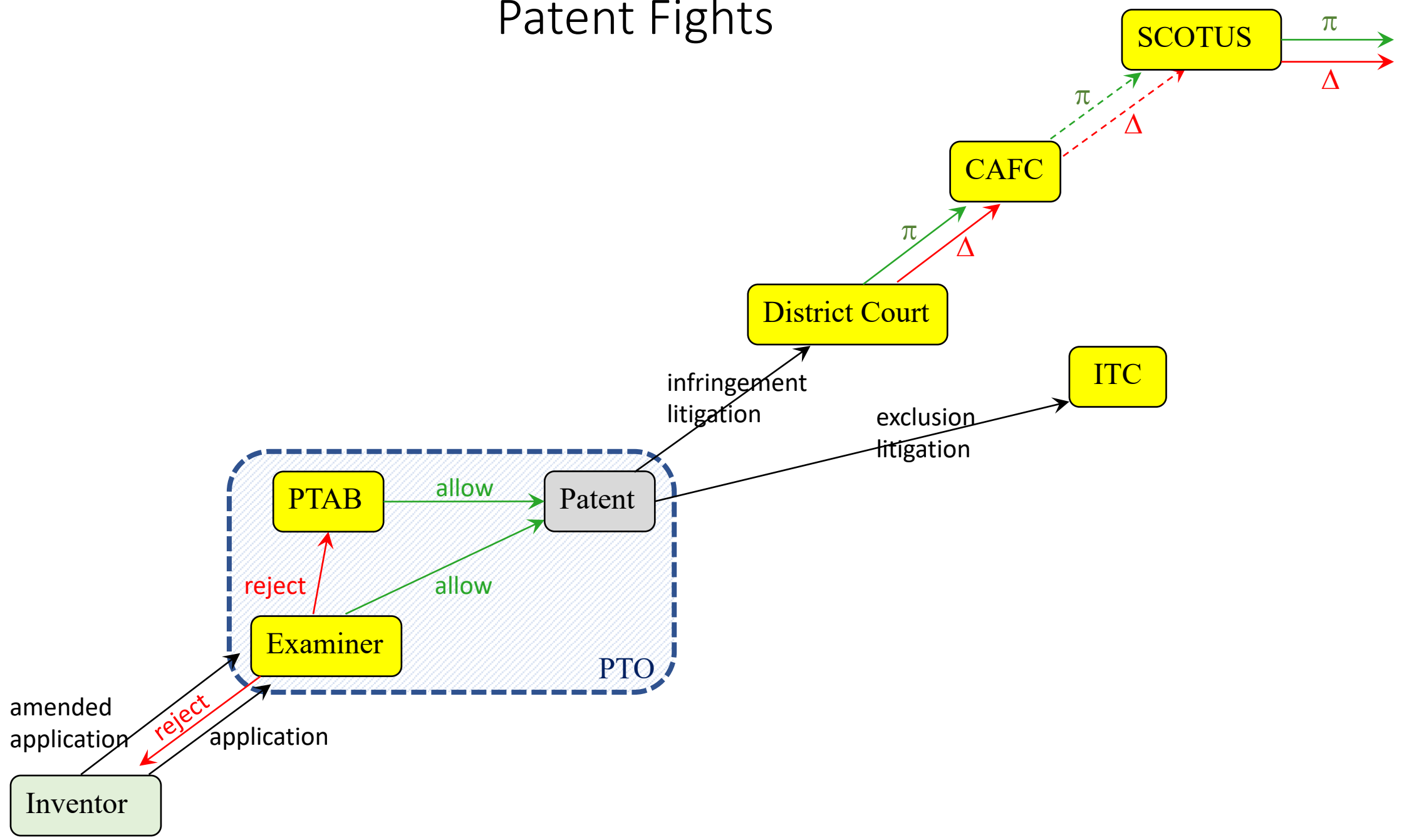


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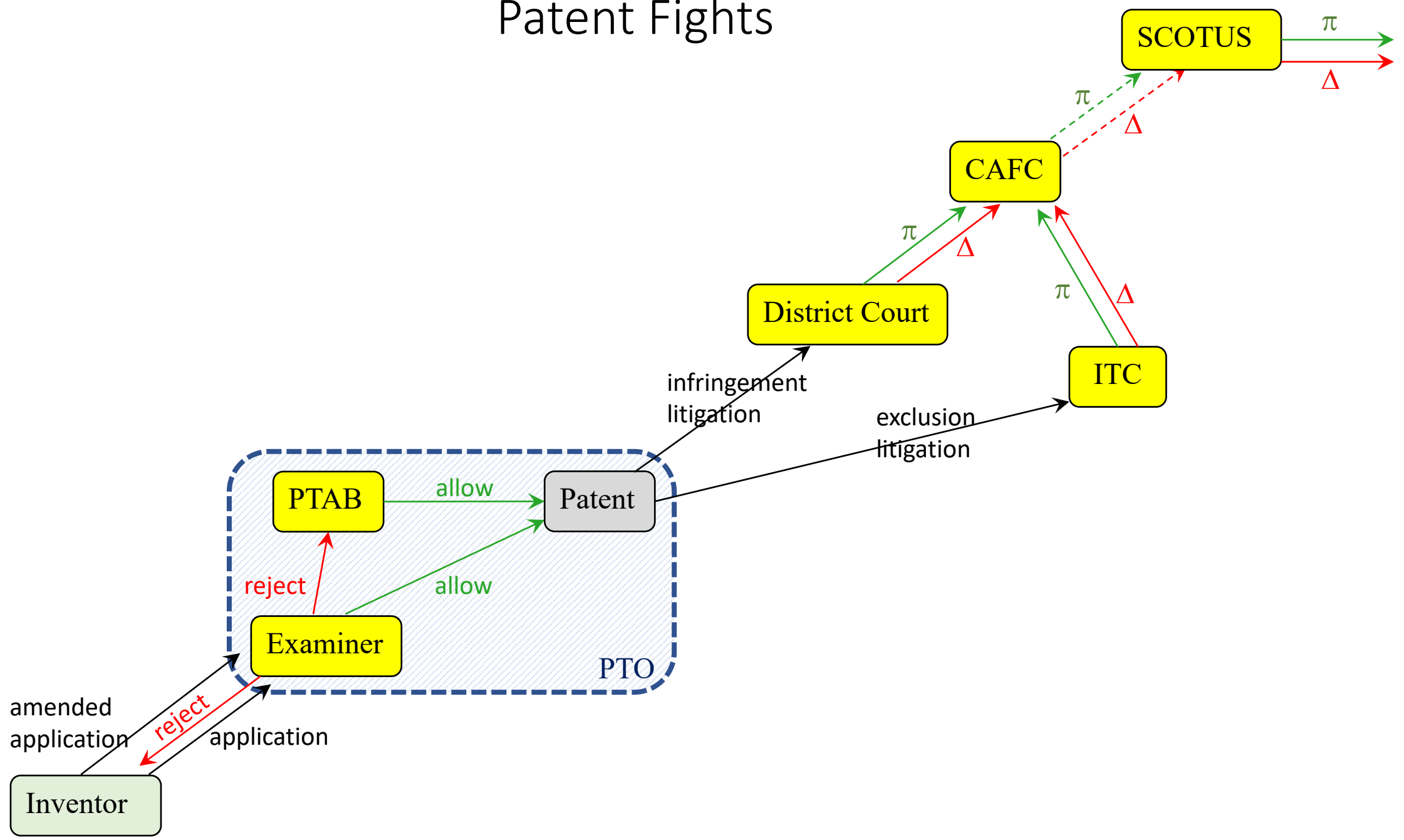


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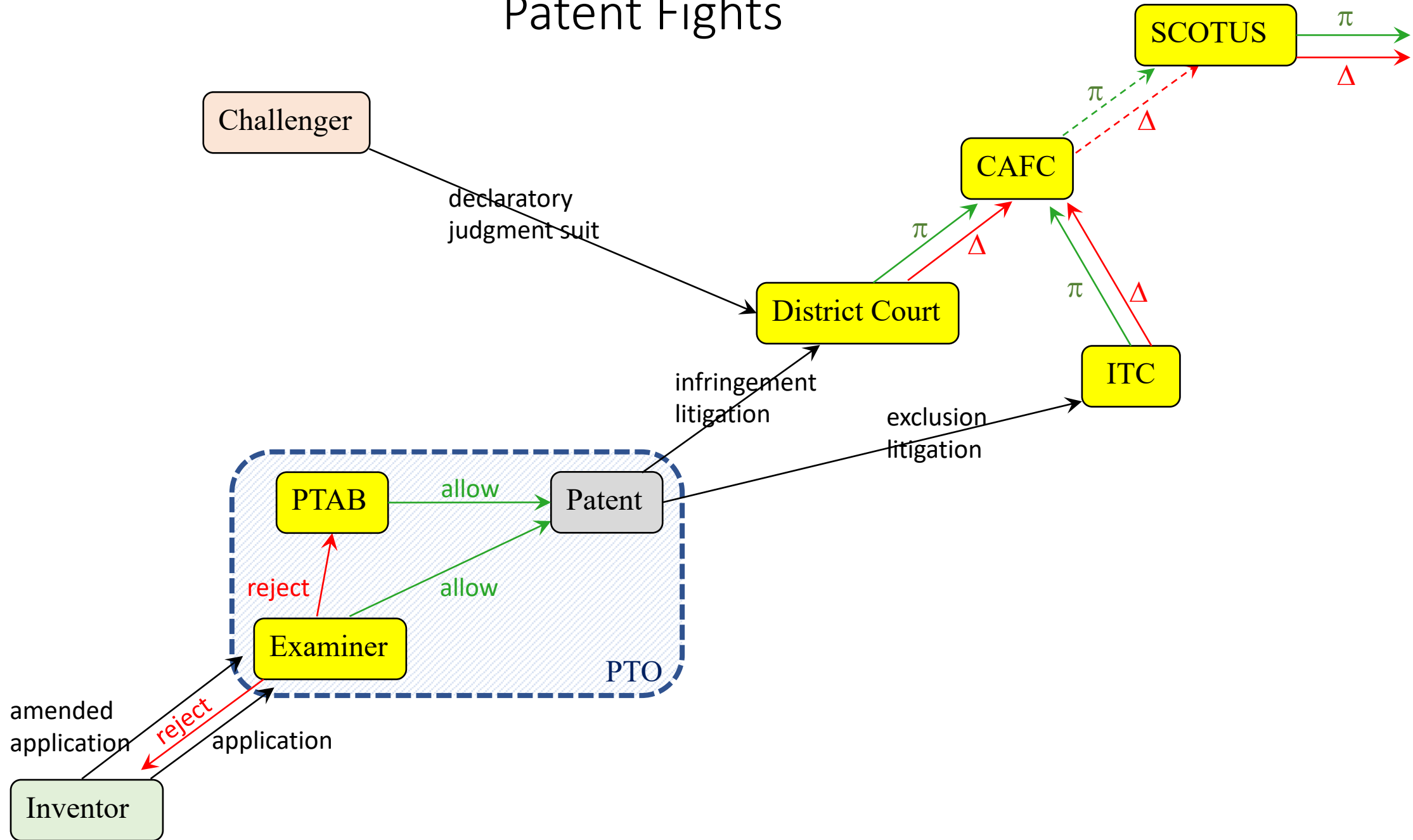


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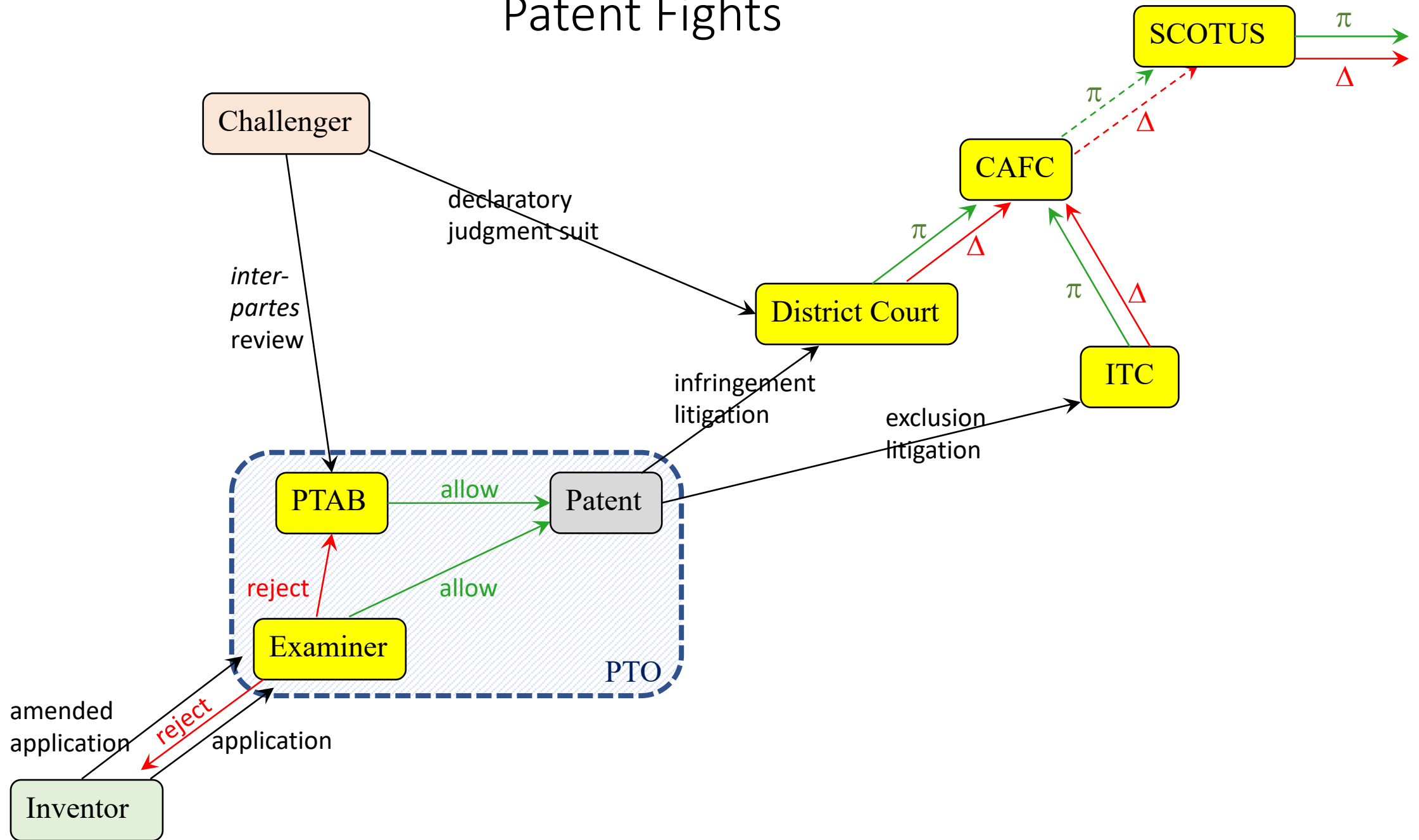


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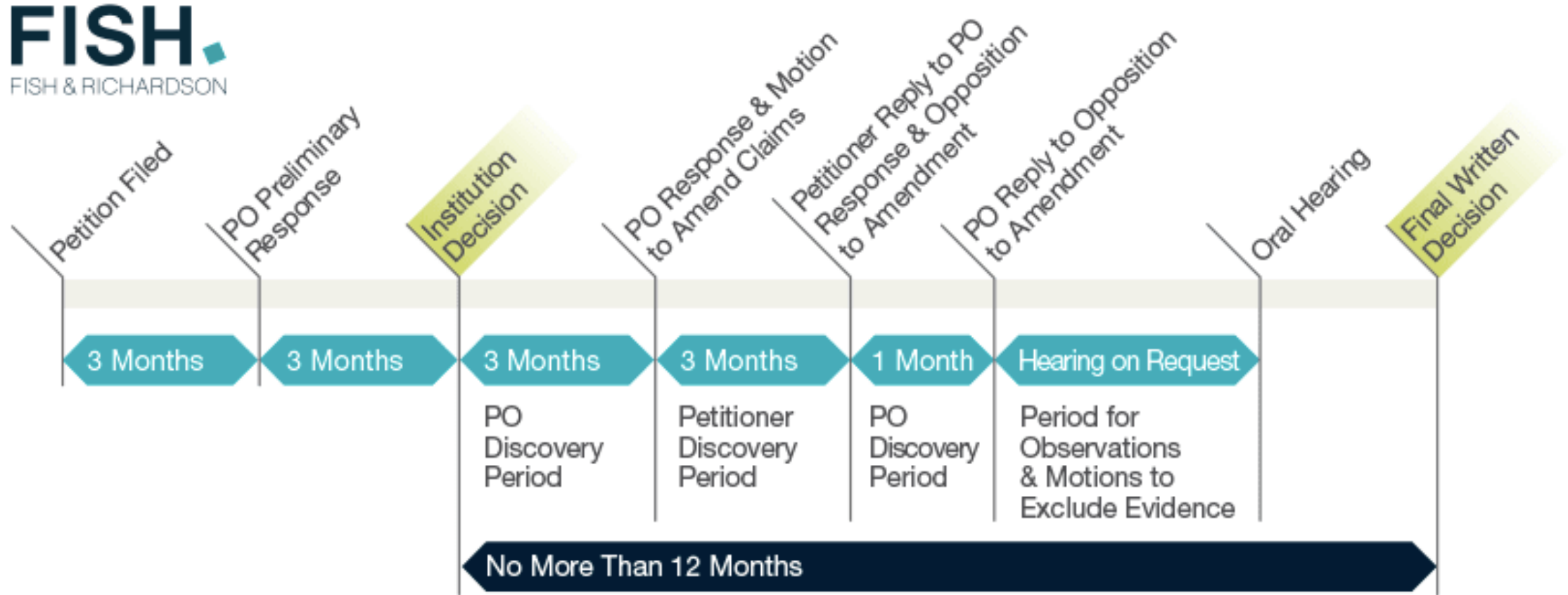


# Patent Fights





# Inter Partes Review Procedure



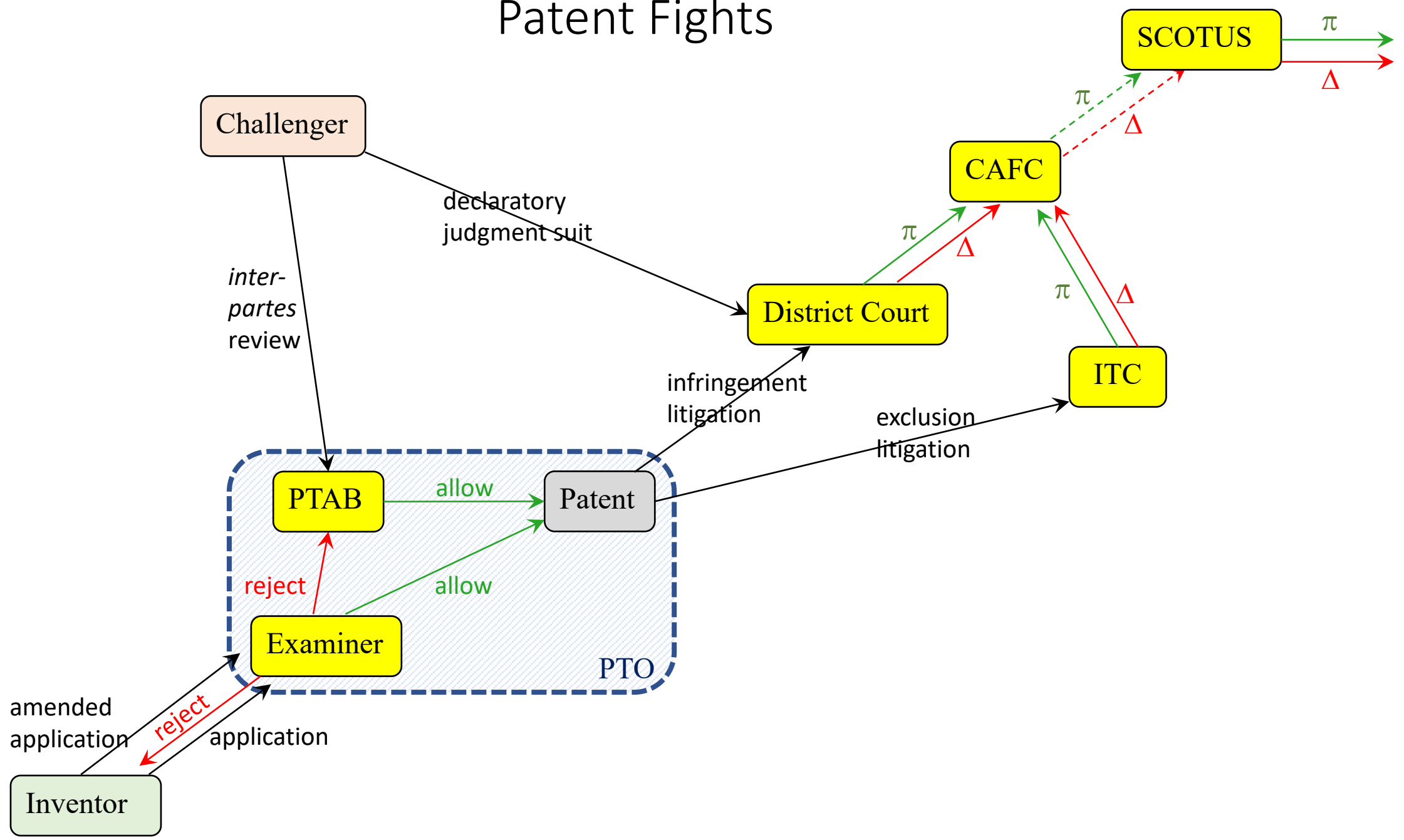
Source: <https://fishpostgrant.com/inter-partes-review/>

Detailed rules available at [https://www.uspto.gov/sites/default/files/aia\\_implementation/fr\\_specific\\_trial.pdf](https://www.uspto.gov/sites/default/files/aia_implementation/fr_specific_trial.pdf)



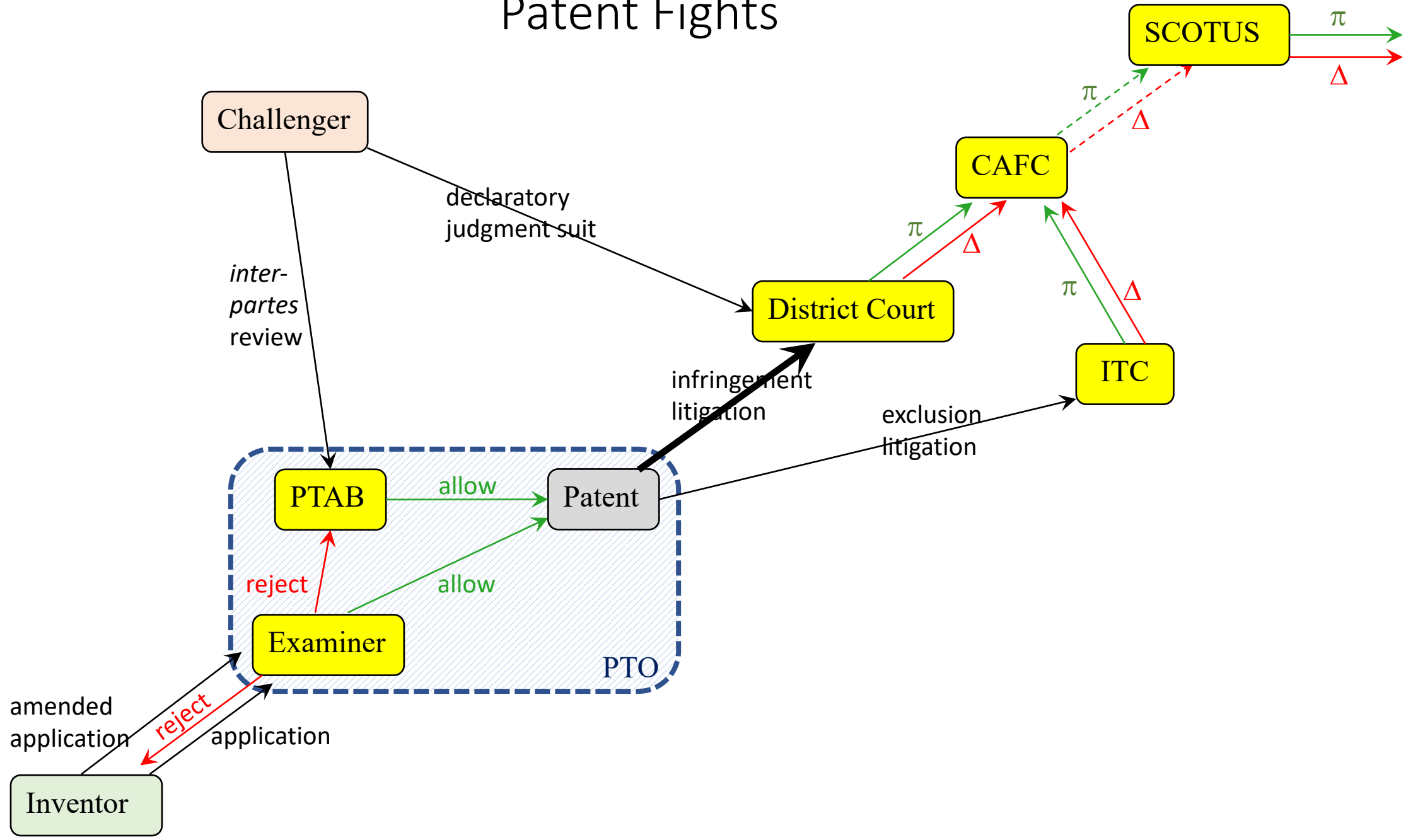


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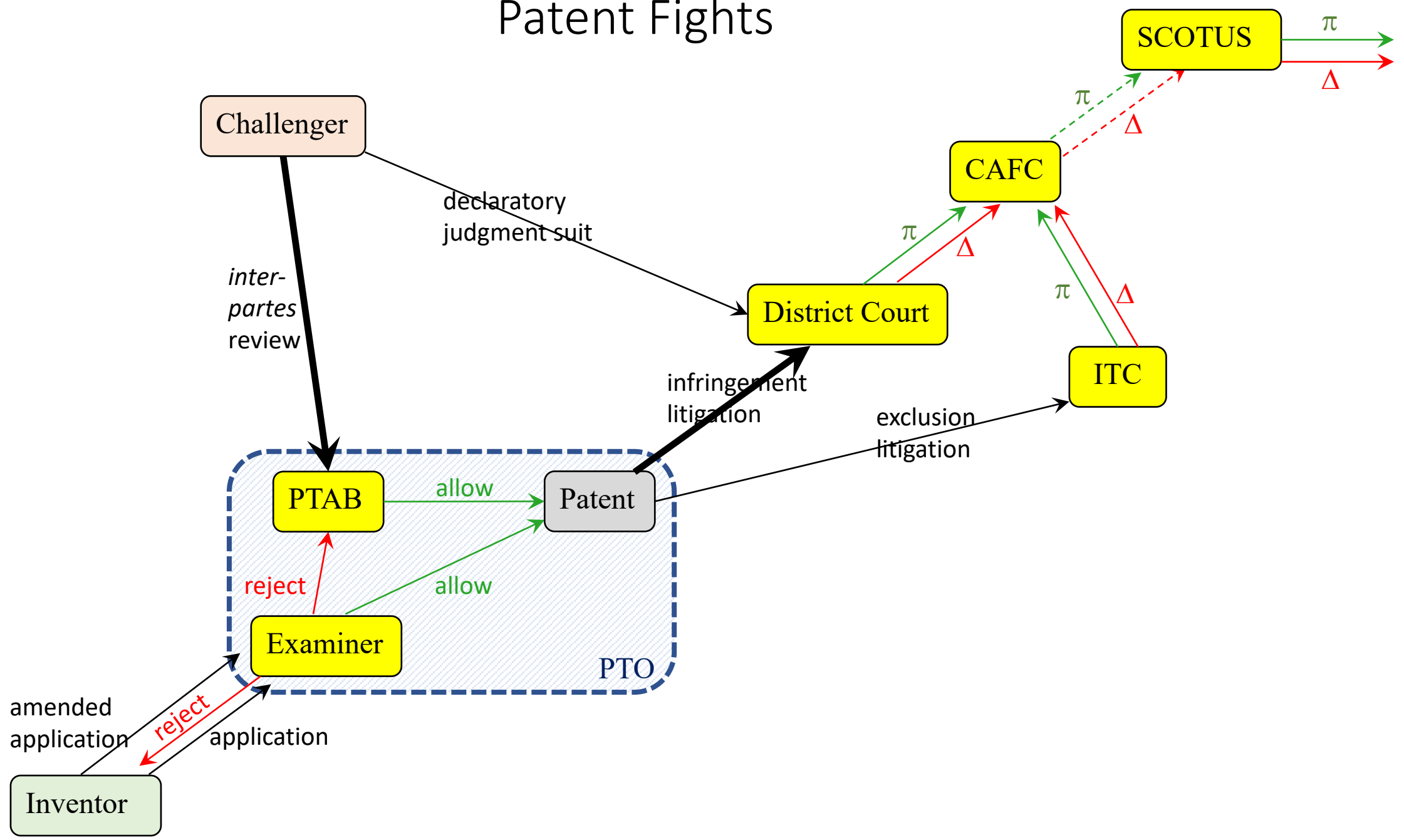


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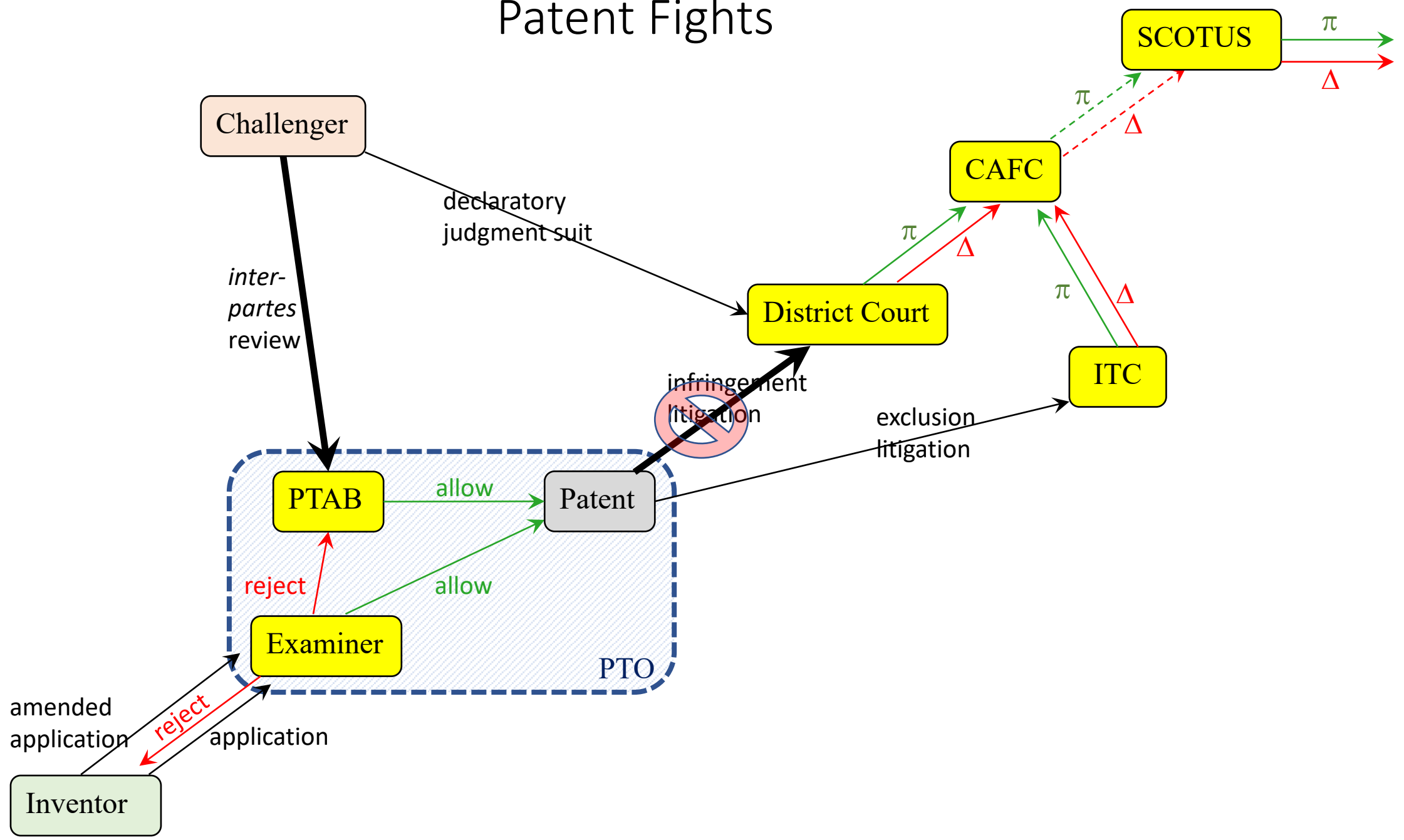


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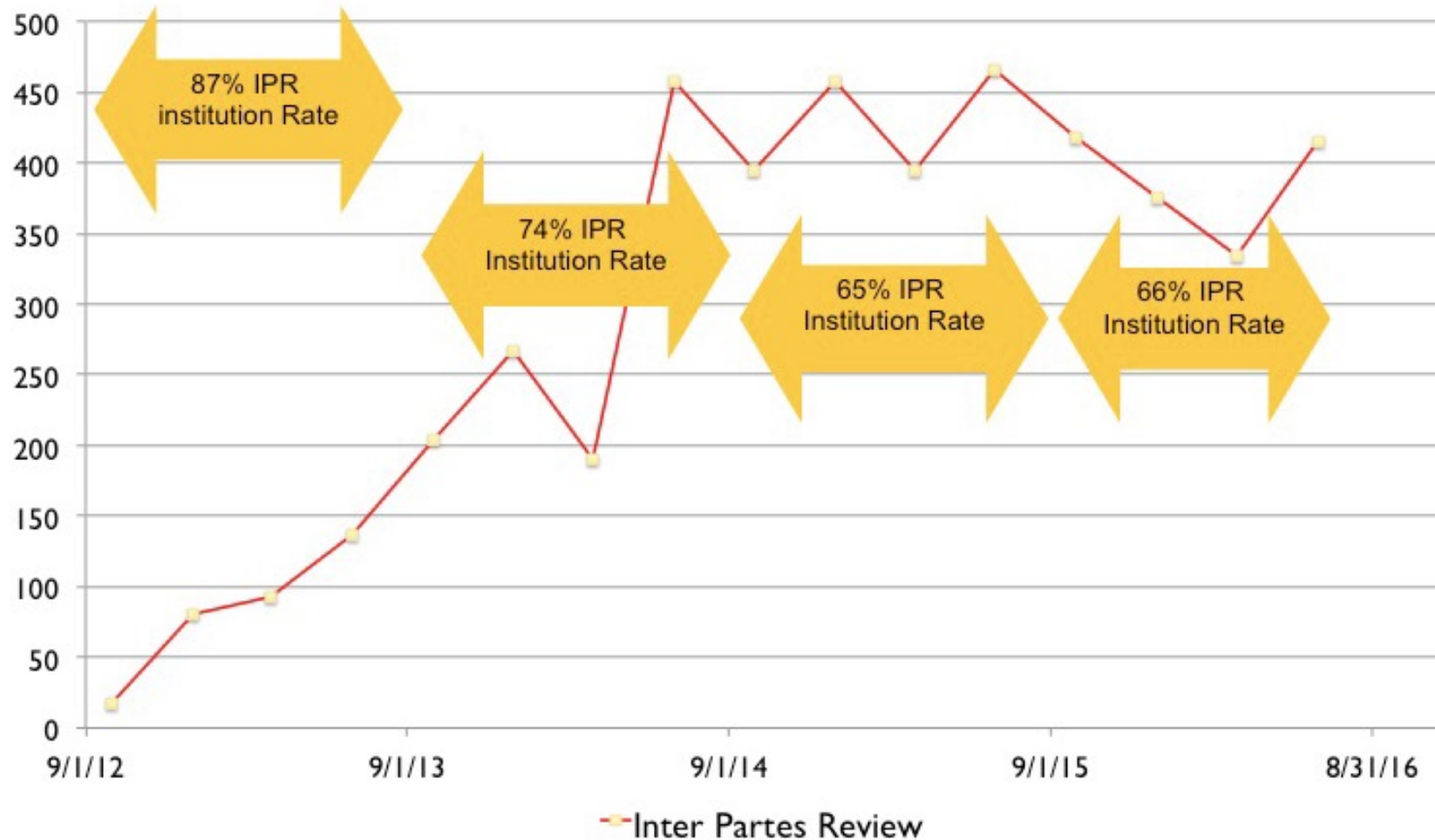


# Patent Fights





# IPR Petitions Filed and Institution Rates (per quarter)

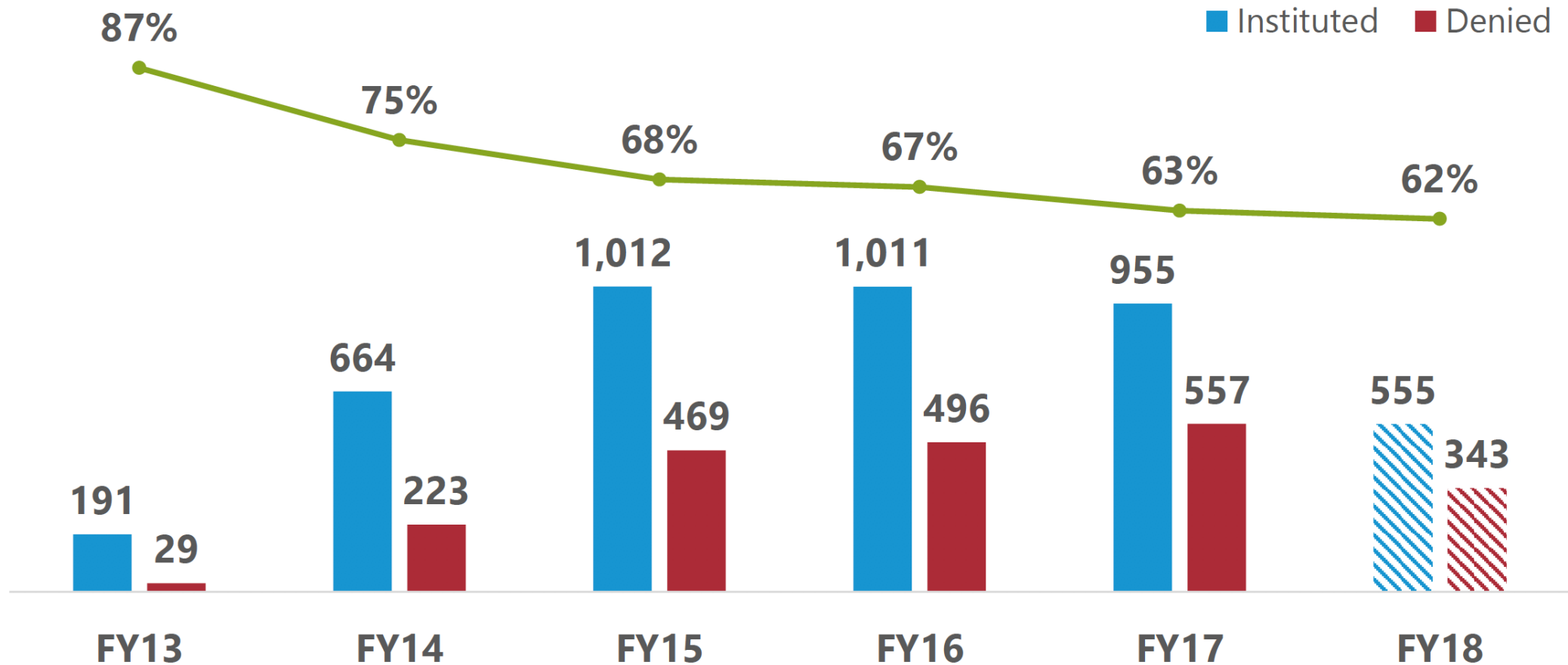


Based on USPTO statistics as of May 30, 2016 (<http://www.uspto.gov/patents-application-process/appealing-patent-decisions/statistics/aia-trial-statistics>). Institution rates obtained by dividing institutions by total no. of decisions on institution excluding joinders. Institution rates are higher if joinders are included as instituted trials. [www.PatentlyO.com](http://www.PatentlyO.com)



# Institution Rates

(FY13 to FY18: 10/1/12 to 4/30/18)



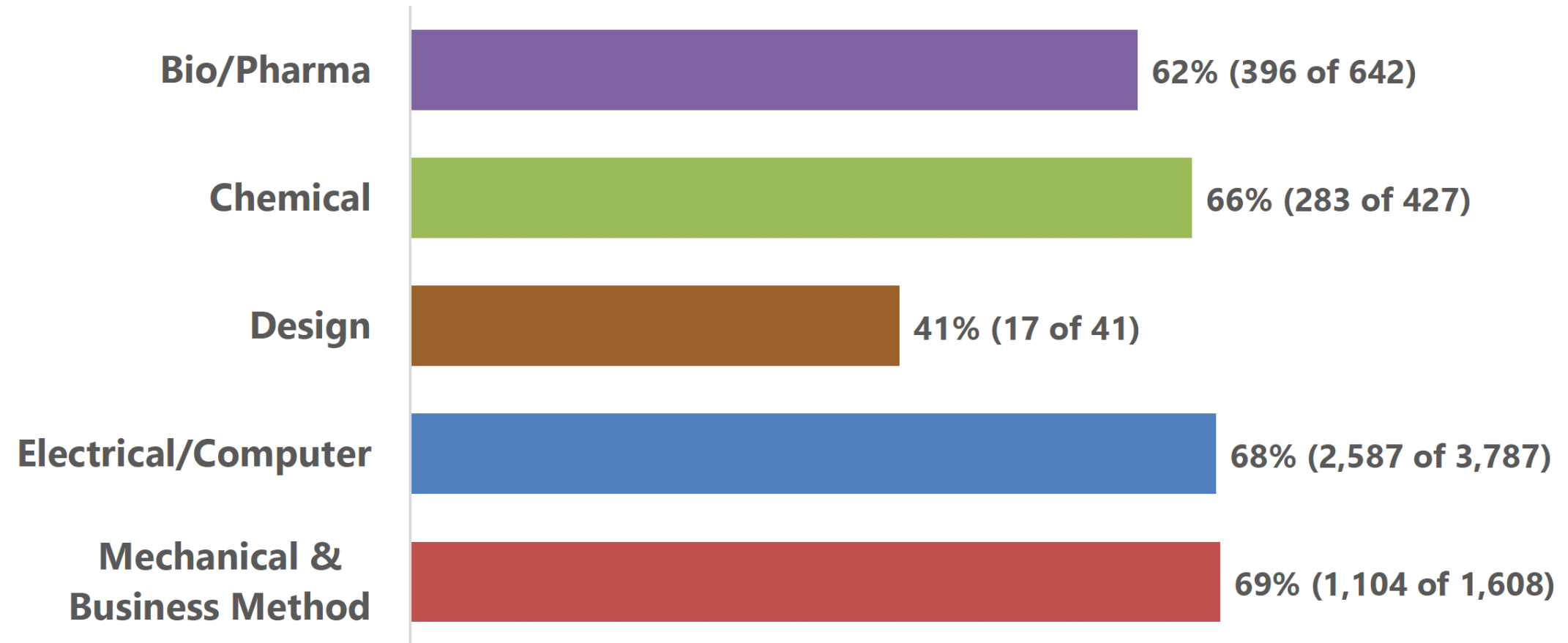
Institution rate for each fiscal year is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.





# Institution Rates by Technology

(All Time: 9/16/12 to 4/30/18)



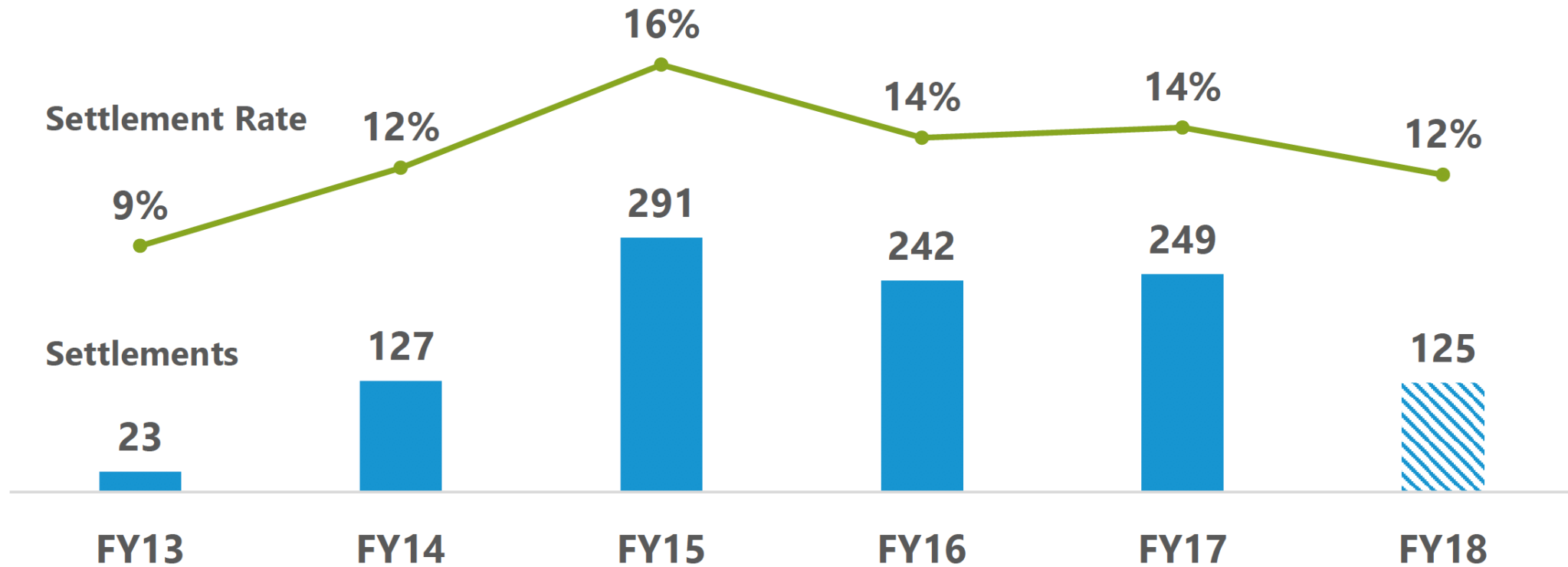
Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.





# Pre-Institution Settlements

(FY13 to FY18: 10/1/12 to 4/30/18)



Settlement rate for each year is calculated by dividing pre-institution settlements by the sum of proceedings instituted, denied institution, dismissed, terminated with a request for adverse judgment, and settled before decision on institution.

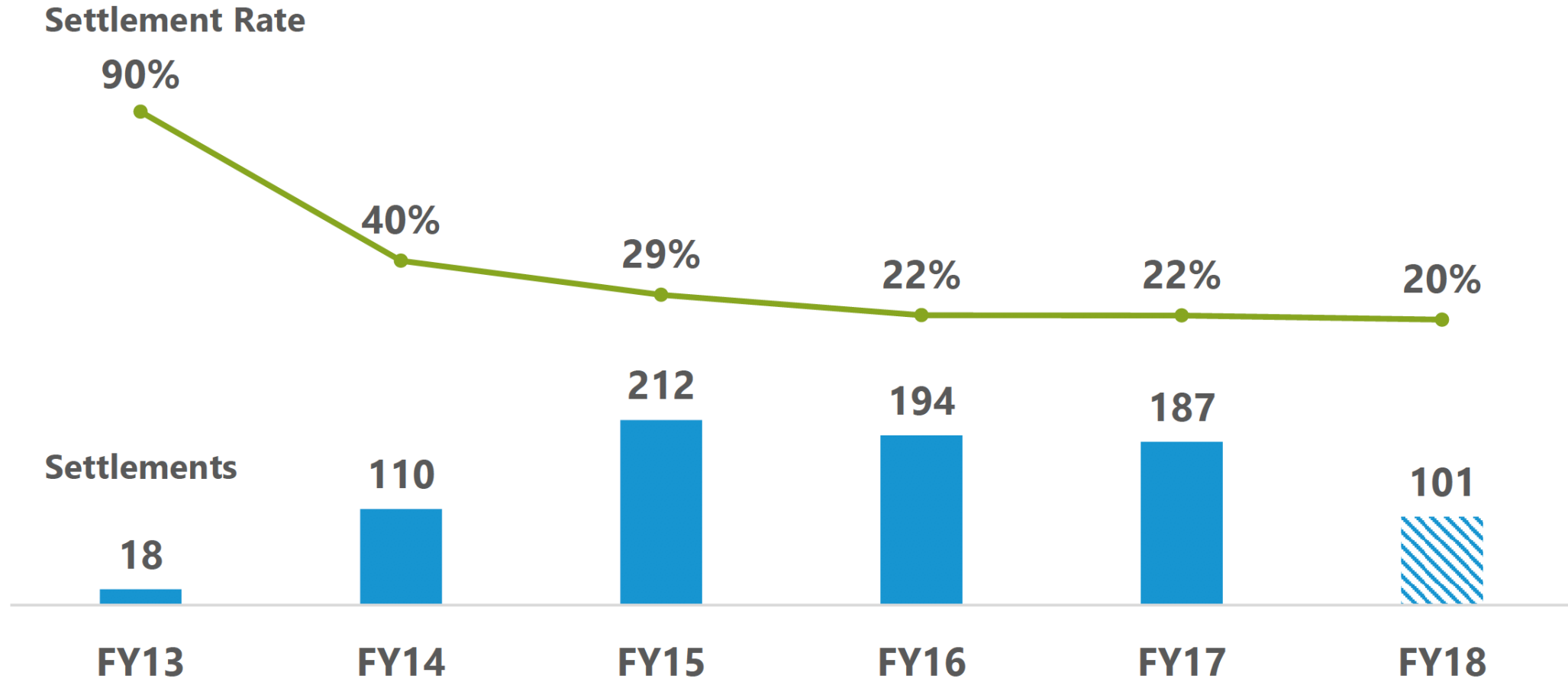






# Post-Institution Settlements

(FY13 to FY18: 10/1/12 to 4/30/18)



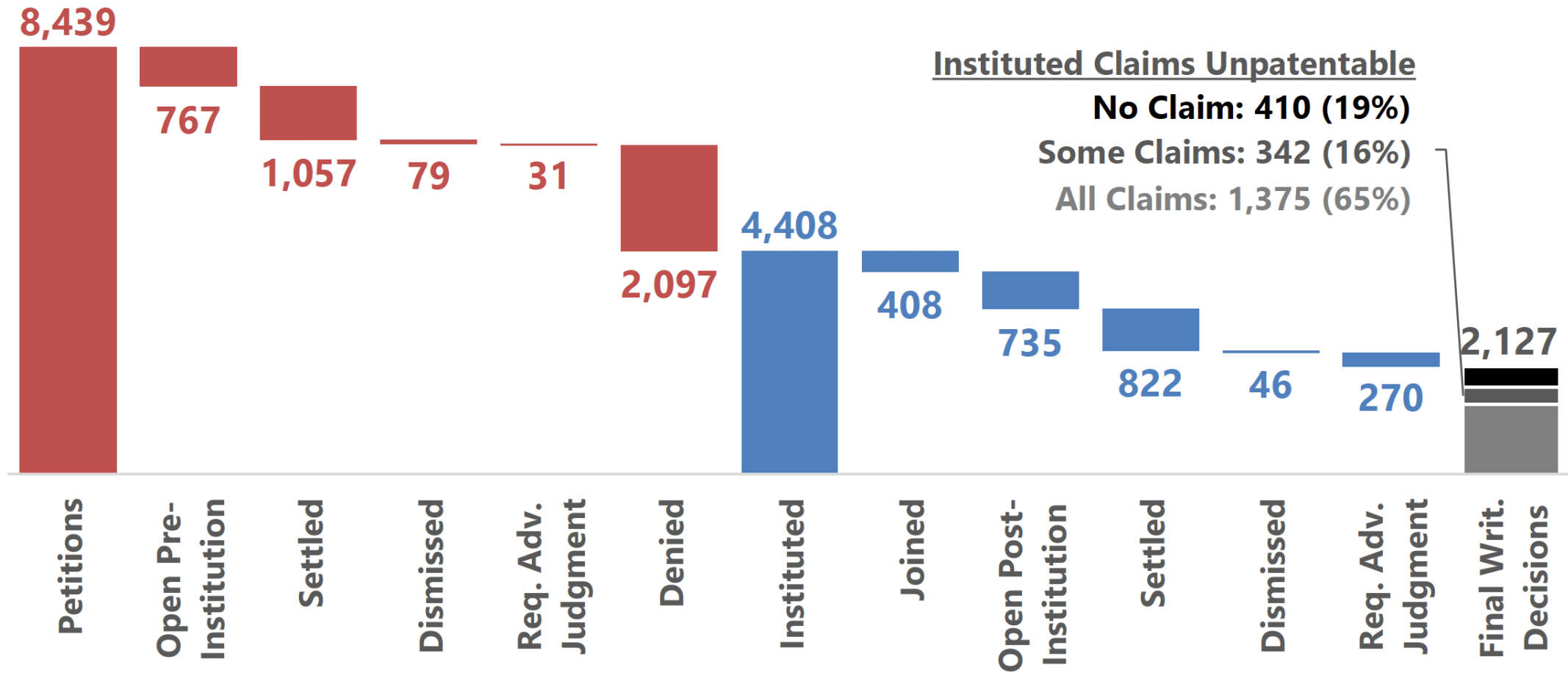
Settlement rate for each year is calculated by dividing post-institution settlements by proceedings terminated post-institution (i.e., settled, dismissed, terminated with a request for adverse judgment, and final written decision), excluding joined cases.





# Status of Petitions

(All Time: 9/16/12 to 4/30/18)



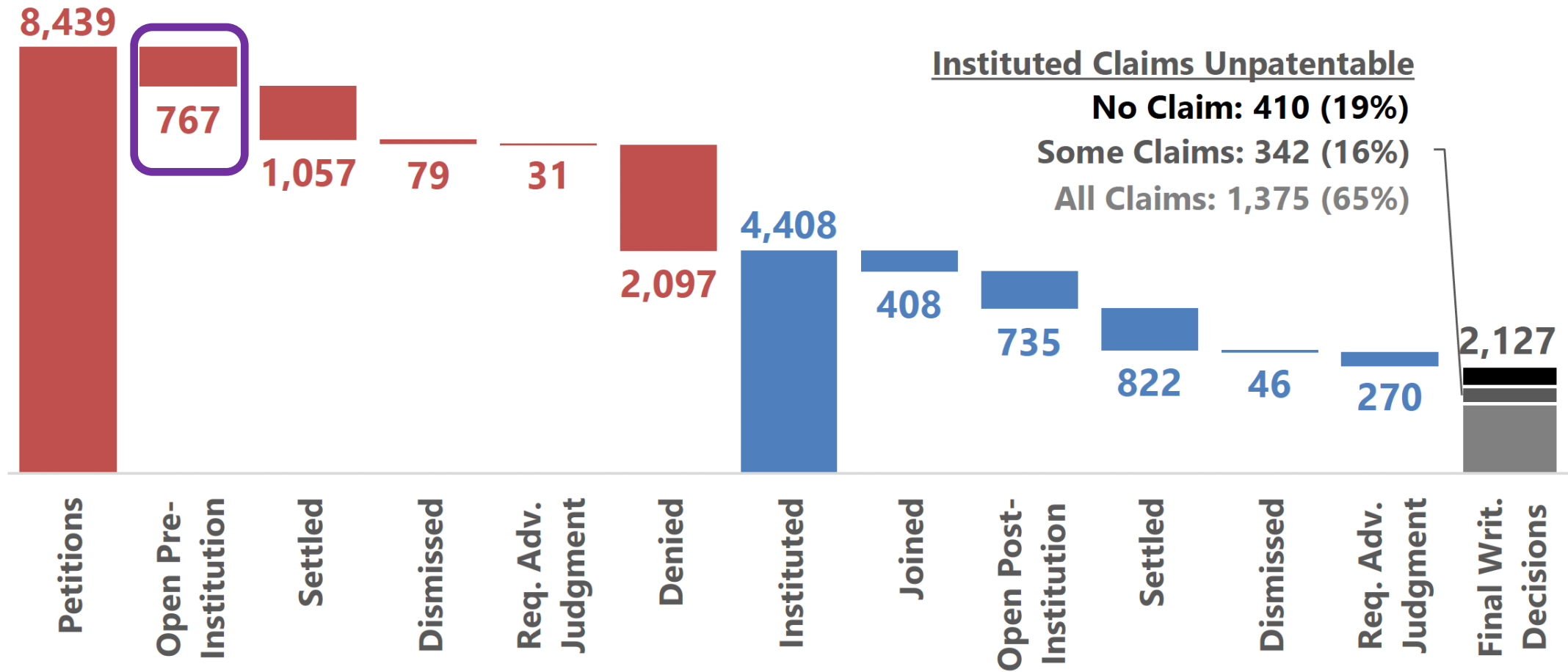
These figures reflect the latest status of each petition. The outcomes of decisions on institution responsive to requests for rehearing are incorporated. Once joined to a base case, a petition remains in the Joined category regardless of subsequent outcomes.





# Status of Petitions

(All Time: 9/16/12 to 4/30/18)



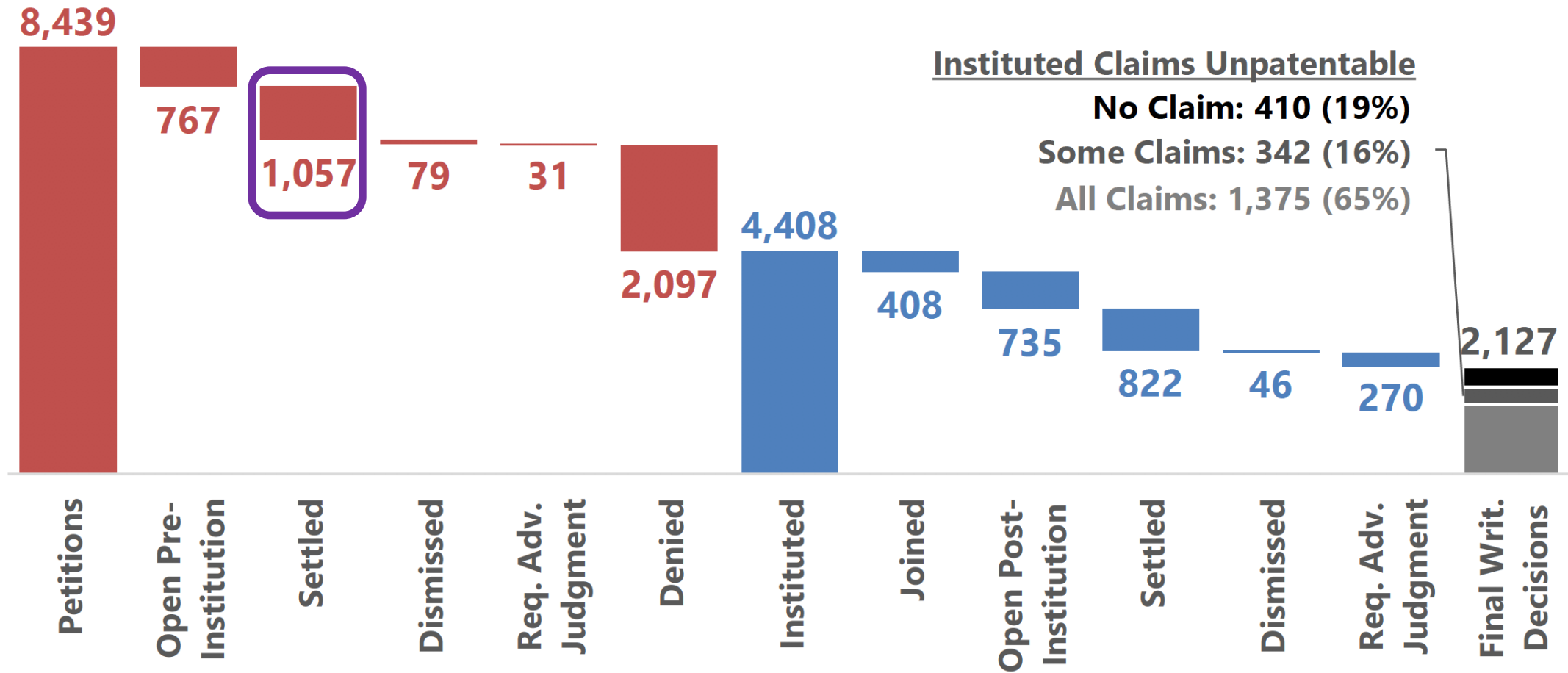
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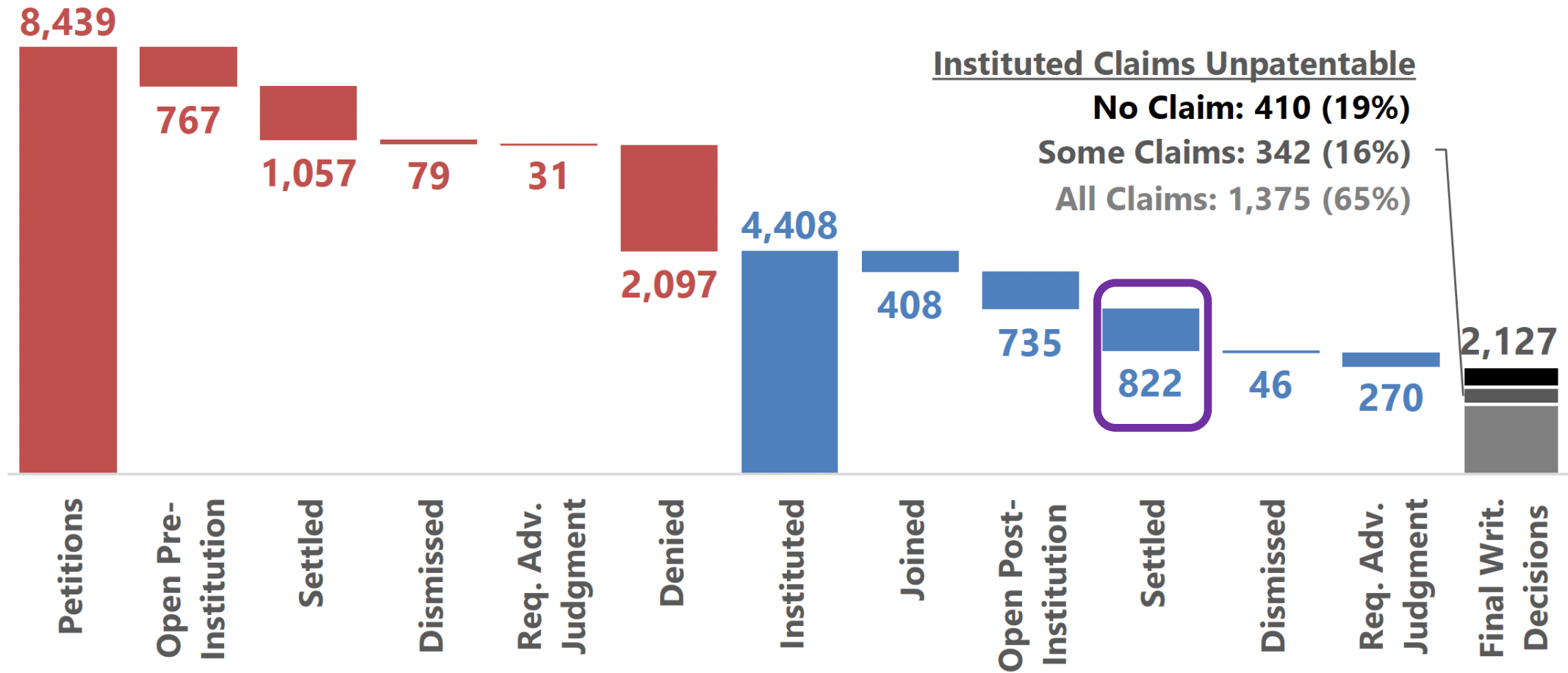
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(All Time: 9/16/12 to 4/30/18)



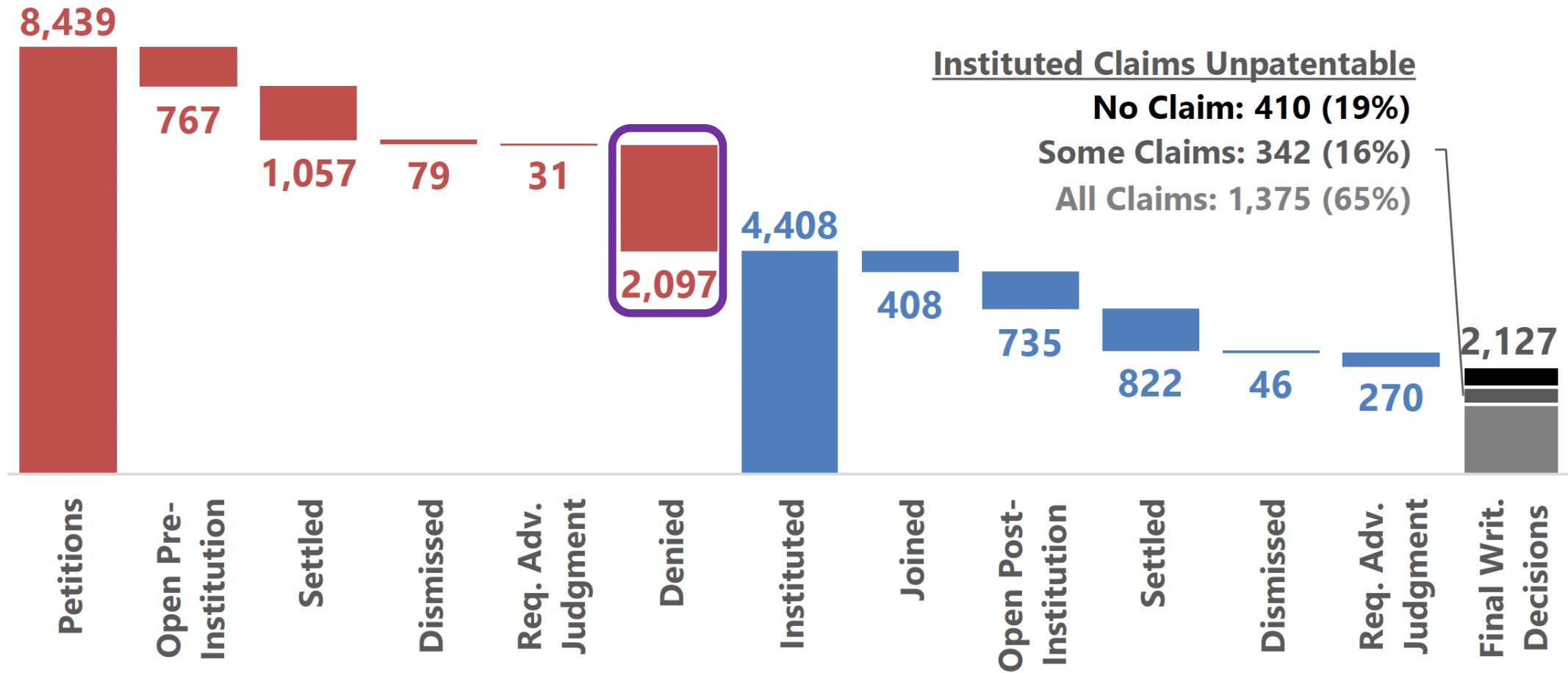
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(All Time: 9/16/12 to 4/30/18)



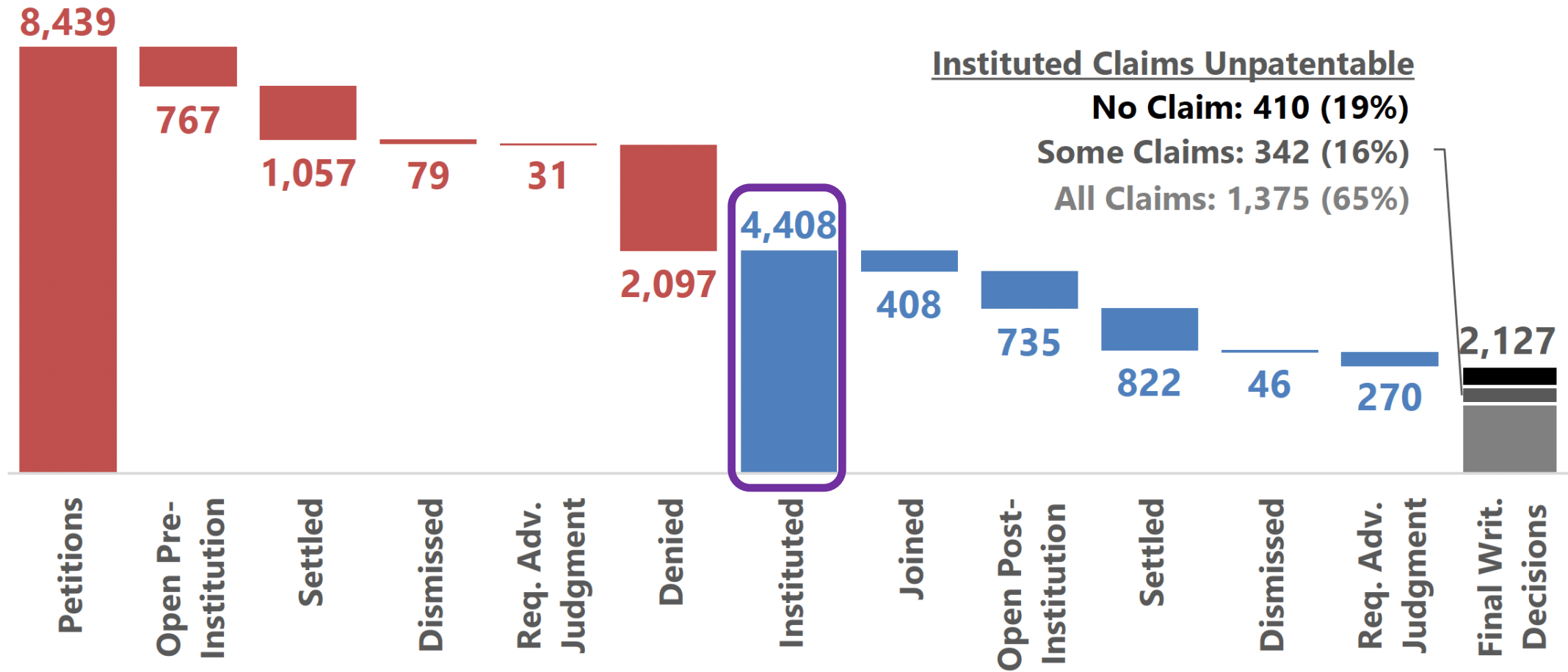
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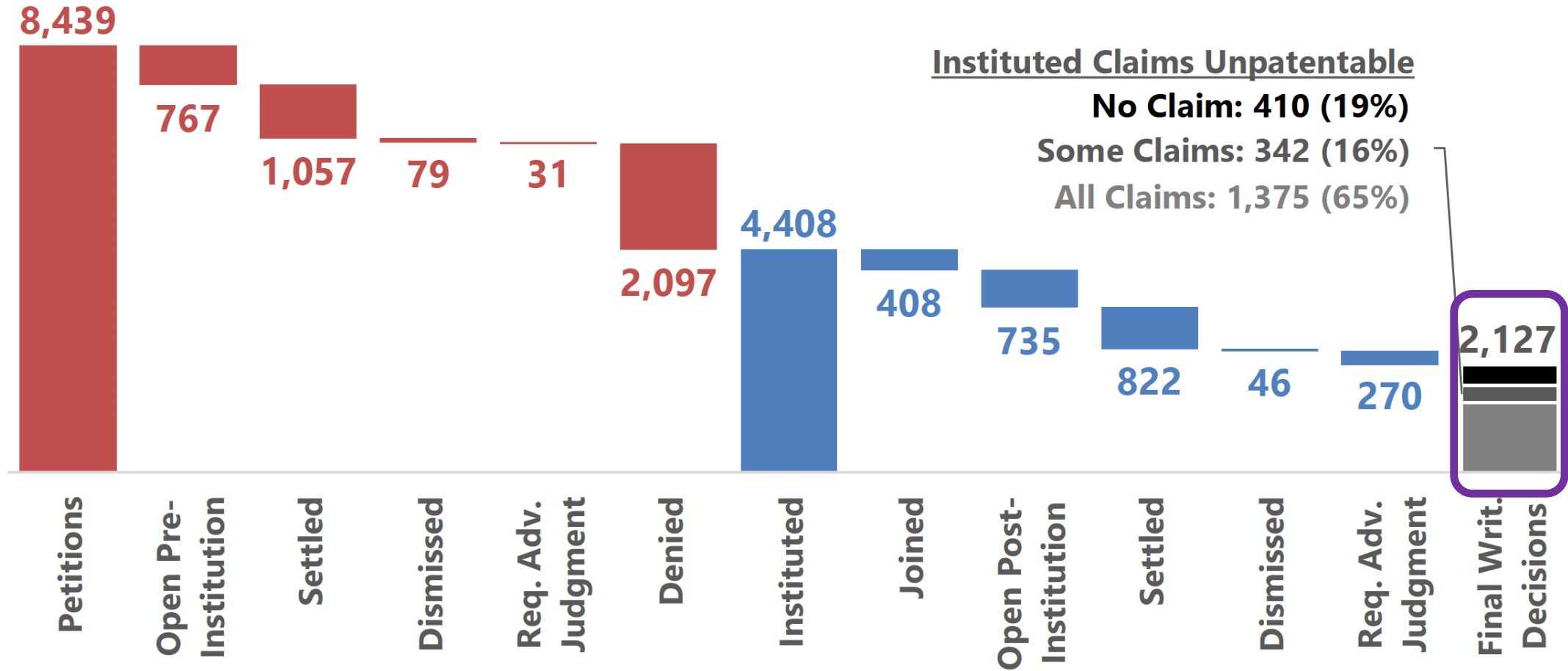
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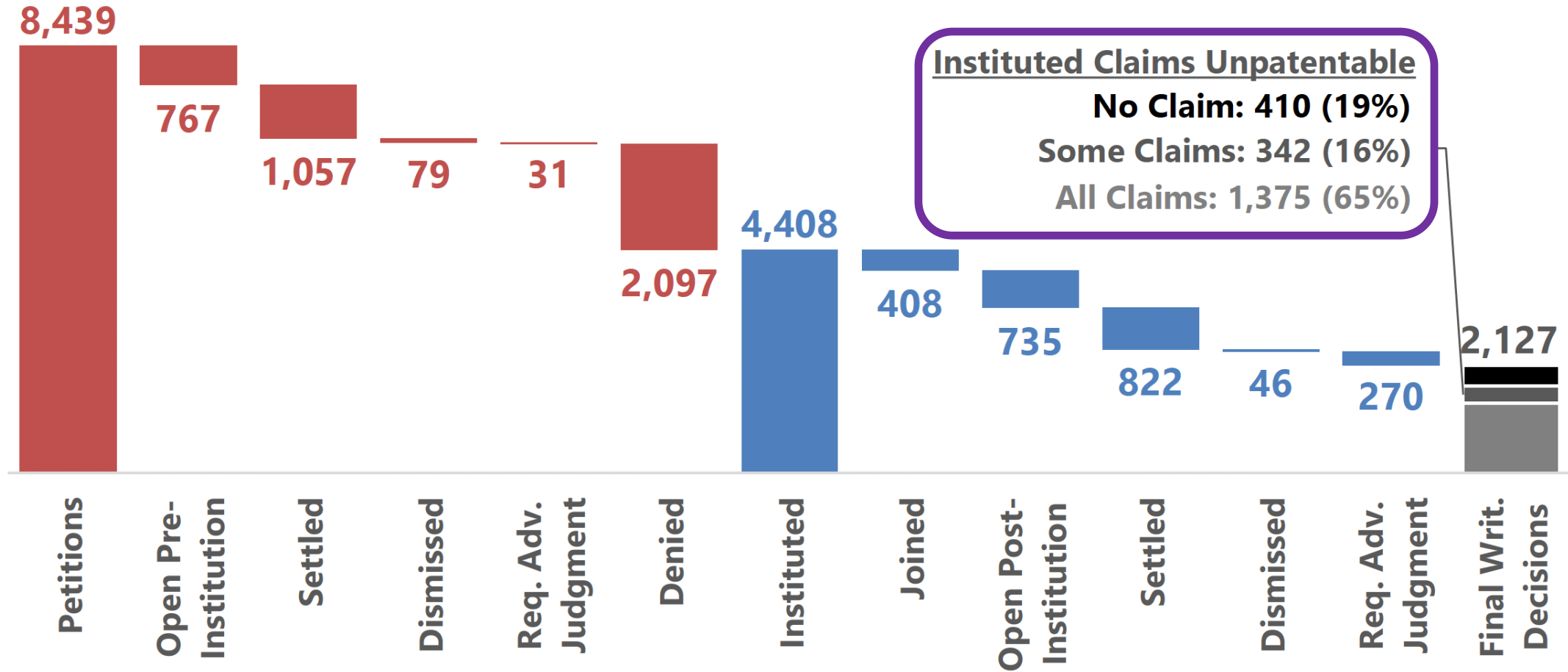






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